



Alan Roth

Partner

Chicago

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Alan concentrates his practice on private equity, private debt and venture capital fund formation with a special focus in small business investment company (SBIC) licensing. His clients say he is “incredibly thorough,” “uber responsive” and is a “business and solutions-focused lawyer.”

Alan has more than 30 years of experience in the private equity sphere, specializing in SBIC licensing, investment and compliance, fund formation, and corporate acquisitions. He regularly represents private equity sponsors in the pre-licensing and post-licensing stages of the SBIC licensing process.

Prior to joining Winston & Strawn, Alan served as the chair of the Investment Funds and SBIC Practice of a large international law firm.

Recent Experience

Hudson Ferry Capital’s Sale of ALCOM

United American Healthcare Corp. Sale of Pulse Systems LLC to Heraeus Holding GmbH

Formation of Tonka Bay Equity Partners’ Fourth Fund

Recognitions

- *The Legal 500 US*, “Key Lawyer,” Private Equity Funds (2022, 2024)
 - *Legal 500 US*, M&A/Corporate and Commercial – M&A: Middle Market (2016, 2024)
 - *Chambers USA*, Illinois, Corporate/M&A: Private Equity (2010–2022)
 - *Lawdragon’s* “500 Leading Lawyers in America” (2021–2023) and “500 Leading Dealmakers in America” (2021–2025)
 - Leading Lawyers Network
 - Closely & Privately Held Business (2014-2018)
 - Corporate Finance (2014-2018)
 - Mergers & Acquisitions (2014-2018)
 - Securities & Venture Finance Law (2014-2018)
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Activities

- Law360 Private Equity Editorial Advisory Board (2022)
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Credentials

EDUCATION

Alan earned his J.D. from Washington University and his LL.M. in Taxation from New York University. He received his B.A. from Duke University.

ADMISSIONS

- Missouri
- Illinois

Related Insights & News

- “SBA Backs Off Threat Against Firms That Didn’t Need Loans,” *Bloomberg*, May 13, 2020
- Panelist, “Small Business Investment Company (SBIC) Advisers Relief Act: The Amendments’ Impact to Fund Advisers,” The Knowledge Group, June 24, 2016
- “What’s New in Licensing,” SBIA Western Private Equity Conference, April 13, 2016
- Panelist, “CFO Session: New Developments in Regulatory and Limited Partner Pressures on Fees and Expenses,” Southern Private Equity Conference, February 24, 2016

SPONSORSHIP

Winston & Strawn Sponsors SBIA's 2025 Midwest Deal Summit

MAY 28, 2025

SPONSORSHIP

Winston & Strawn Sponsors SBIA's 2025 West Coast Capital Summit

MARCH 25, 2025

RECOGNITIONS

Law360 Names Winston Attorneys to 2025 Editorial Advisory Boards

MARCH 20, 2025

CLIENT ALERT

Interest Rates Increase for SBICs: March 2025 Standard Debenture Pooling

MARCH 17, 2025

SPONSORSHIP

Winston & Strawn Sponsors SBIA's 2025 Southern Private Equity Conference

FEBRUARY 13, 2025

SPONSORSHIP

Winston & Strawn Sponsors the 23rd Annual IVCA Awards Dinner

DECEMBER 9, 2024

SPONSORSHIP

Winston Co-Sponsors Private Markets Insider Alternative Investment & DealConnect Summit

OCTOBER 23, 2024

RECOGNITIONS

Winston Partners Recognized on the 2025 *Lawdragon* 500 Leading Dealmakers in America List

OCTOBER 22, 2024

ARTICLE

Winston Attorneys Author "Alternative Funds 2024 — USA" *Chambers Global Practice Guide*

OCTOBER 17, 2024

CLIENT ALERT

Interest Rates Fall for SBICs: September 2024 Standard Debenture Pooling

SEPTEMBER 24, 2024

SEMINAR/CLE

Chicago SBIC Fund Reception & Conference

JUNE 24, 2024

RECOGNITIONS

Winston & Strawn Recognized in *The Legal 500 U.S.* 2024

JUNE 12, 2024

Capabilities

Transactions

Private Investment Funds

Private Equity