

Reminder of Annual Requirements for Investment Managers

JANUARY 29, 2018

Investment Managers face seemingly ever increasing regulatory requirements, many of which are triggered at the beginning of the calendar year or an Investment Manager's fiscal year. This client briefing is intended as a primer for Investment Managers regarding ongoing compliance obligations and includes best practice recommendations. Please contact a member of Winston & Strawn's Investment Management Practice or your Winston & Strawn Attorney with any fact specific inquiries or for further information.

Less Than 1 Min Read

Related Locations

Chicago

New York

San Francisco

Silicon Valley

Related Topics

Investment Management

Investment Managers

ERISA

Proxy Season

CFTC

FBAR

Volcker Rule

OFAC

Money Laundering

Securities and Exchange Commission (SEC)

Related Capabilities

Transactions

Private Investment Funds

Financial Services Transactions & Regulatory

Related Regions

Related Professionals



John P. Alexander



J. Wade Challacombe



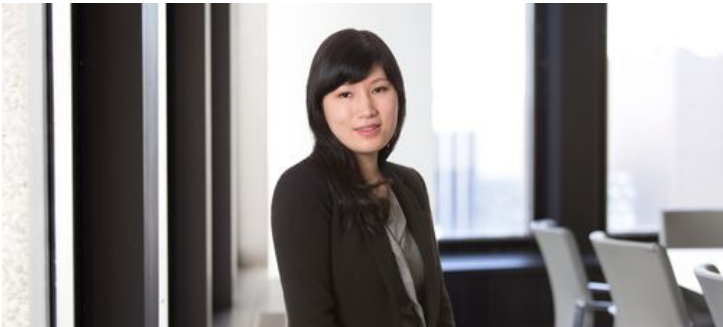
Megan Devaney



Margaret Frey



Basil Godellas



Jacqueline Hu



Beth Kramer



Brian Kozlowski



Bradley S. Mandel



Scott Naidech



Dania Sharma



Michael Wu