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Winston & Strawn Sponsors, Attorneys Speak at FMA's 33rd Annual Securities Compliance Seminar

APRIL 17, 2024 - APRIL 19, 2024

Winston & Strawn was a proud sponsor of the Financial Markets Association (FMA)'s 33rd Annual Securities Compliance Seminar in Chicago, IL, from April 17-19, 2024.

Basil Godellas—who serves as co-chair of the Financial Services Practice—was a speaker on the kick-off panel, and Financial Services partner Kim Prior moderated. Their panel topics included:

- key 2024 legislative and regulatory initiatives;
- persistent compliance issues and challenges related to outside business activities of associated persons;
- preparing for the transition to a T+1 practice for securities settlements which has an imminent compliance date of May 28, 2024;
- and the increased regulation by the Securities and Exchange Commission (SEC) and the Financial Industry Regulatory (FINRA) of Regulation Best Interest (Reg BI).

Associate Janelle Rodriguez-Mena was also in attendance.





1 Min Read

Speaker

[Basil Godellas](#)

[Kimberly A. Prior](#)

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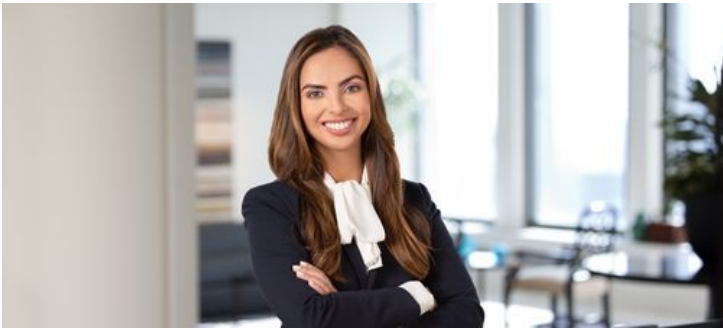
Related Professionals



Basil Godellas



Kimberly A. Prior



Janelle E. Rodriguez-Mena