



## Kimberly A. Prior

Partner  
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**Kimberly Prior es una abogada especializada en regulación de servicios financieros que asesora a entidades financieras y otras empresas en asuntos jurídicos, regulatorios y corporativos. Cuenta con amplia experiencia asesorando a clientes del sector de libros de contabilidad distribuidos, *blockchain* y activos digitales.**

Kimberly es copresidenta del Grupo de Activos Digitales y Tecnología *Blockchain* de Winston, y se especializa en asuntos regulatorios, transaccionales, corporativos y de cumplimiento para empresas y negocios de servicios financieros nacionales e internacionales, incluyendo bancos, agentes de bolsa, asesores de inversión y fondos de capital privado.

Kimberly asesora a funcionarios gubernamentales y reguladores sobre tecnología y regulación de activos digitales, y participa regularmente como conferencista en temas regulatorios que afectan a la industria financiera. Desde 2018, Kimberly imparte un curso popular sobre regulación de *blockchain* y activos digitales en la Facultad de Derecho de la Universidad de Miami. Además, es coautora del libro *Digital Assets and Blockchain Technology: US Law and Regulation* (Elgar 2020), un libro legal pionero que analiza los enfoques jurídicos y regulatorios sobre los temas más importantes que impactan al espacio *blockchain*.

Anteriormente, fue profesora adjunta en la Facultad de Derecho de la Universidad de Miami, donde impartió un seminario avanzado centrado en temas actuales sobre fusiones y adquisiciones de instituciones financieras. Kimberly también es coautora de un capítulo sobre desarrollos recientes en derecho de valores transfronterizo y fue asesora general de la *Financial & International Business Association*.

## Cuestiones clave

*Some of the experience represented below may have been handled at a previous firm.*

Kimberly's representative experience includes:

### **Financial Regulatory & Enforcement Matters**

- Represented a broker-dealer affiliate of a multinational financial institution in Financial Industry Regulatory Authority (FINRA) Continuing Membership Application process related to business reorganization.
- Advised an investor group on acquisition of broker-dealer.
- Represented a national bank in the acquisition of a payday lending company.
- Advised an investor group on investments in private investment funds.
- Represented a broker-dealer affiliate of a financial institution in FINRA Continuing Application process related to acquisition.
- Represented a Florida bank in connection with sale to investor group.
- Advised an online lending companies regarding federal and state licensure and regulatory obligations.
- Represented a broker-dealer affiliate of a multinational financial institution in a FINRA investigation and enforcement action pertaining to the FINRA anti-money laundering rules.
- Represented an affiliate of a multinational financial institution in parallel Securities and Exchange Commission (SEC) and FINRA investigations and enforcement proceedings pertaining to the promotion of a financial product.
- Represented an investment adviser in an SEC enforcement action regarding custody of client funds.
- Represented a securities issuer in a Florida Office of Financial Regulation (FOFR) investigation relating to securities offerings.

### **Blockchain Experience**

- Advised a United States cryptocurrency exchange in connection with a government investigation regarding anti-money laundering policies and procedures.
- Advised a European cryptocurrency exchange concerning whether certain digital assets are regarded as securities under United States law.
- Advises private funds holding digital assets or investing in digital asset businesses.
- Advised issuers of digital assets with respect to regulatory obligations and prepared offering documents.
- Advised a cryptocurrency exchange interacting with many state regulators to determine whether licenses are required for purposes of contemplated business activities.
- Advised large institutional financial institutions regarding implementation of blockchain technology.
- Advised an issuer regarding their development and offering of non-fungible tokens (NFTs).
- Advised a real estate development company concerning its acceptance of cryptocurrency.

- Advised a real estate developer regarding its issuance of tokens backed by real estate.
- Represented an investor acquiring a cryptocurrency tax reporting company.

## Recent Experience

Torrey Partners's Sale to Stifel Financial Corp.

Arthur J. Gallagher (NYSE: AJG) carveout acquisition of M&T Insurance Agency from M&T Bank Corporation (NYSE: MTB).

## Reconocimientos

- *The Legal 500 Latin America* - International Firms: Recognized for Banking and Finance and City Leaders - Miami (2024-2025)
- *The Legal 500 US*: Recommended for Finance: Fintech (2024–2025); “Key Lawyer” (2024)
- *Chambers USA*: Ranked for Banking & Finance: Regulatory–Florida (2017–2025)
- *Lawdragon*: Listed among the “Leading Dealmakers in America” (2025)
- *The Best Lawyers in America®*: Recognized for Financial Services Regulation Law (2025)
- *Florida Trend*: Selected as one of *Florida Trend's* “Notable Women Leaders in Law” (2024)
- *Daily Business Review*: “On The Rise” Honoree (2019)
- *South Florida Legal Guide*: “Top Up & Comers” (2018–2021)

## Actividades

- Former General Counsel and Co-Chair of the Legal Regulatory Affairs Committee, Financial & International Business Association (FIBA)
- Former Chair, Legal and Regulatory Affairs Committee, Financial & International Business Association (FIBA)
- Active Member, Orange Bowl Committee
- Member, The Digital Chamber, Token Alliance and Consumer Innovation Working Groups

## Credenciales

### EDUCACIÓN

Kimberly received her LL.M. from the University of Miami and her J.D. from Stetson University College of Law. She received her B.S. from Florida State University.

### ADMISIÓN EN EL COLEGIO DE ABOGADOS

- Florida

# Información y noticias relacionadas

## Speaking Engagements

- Speaker, FIBA Webinar, *Five Months into Trump 2.0: What Business Opportunities and Regulatory Challenges Do Financial Institutions Need to Know?*, June 12, 2025
- Speaker, “Regulating Market and Blockchain Structure,” The Harvard Law School Blockchain and FinTech Initiatives’ Fourth Annual Conference, April 25, 2025
- Speaker, “Decentralization & AI,” Blockchain and Digital Assets Conference, Florida State University, November 18, 2024
- Speaker, “U.S. Regulatory Fireside,” Blockchain Association of the Cayman Islands, May 23, 2023
- Speaker, “The Future of Digital Assets,” FMA Annual Securities Compliance Seminar, May 3, 2023
- Speaker, Managing Partner Roundtable, “Commentary on Current Financial Issues and Potential Impacts”, April 19, 2023
- Speaker, “The Topsy Turvy World of Cryptocurrency and Digital Assets Regulation”, FIBA AML Conference, March 2023
- Speaker, “Mitigating the Risks of Virtual Currency,” FIBA Financial Crimes Conference, México, December 2022
- Speaker, “Virtual Assets, Real Value? Restructuring in the Digital Asset and Crypto Space,” Winston & Strawn and Grant Thornton International Restructuring Conference, New York, November 2022
- Speaker, “Navigating Through the Waters of Crypto/NFTs...Legally,” Miami Law Con, April 2022
- Speaker, “Emerging Trends in Intellectual Property: What IP Practitioners Need to Know About Non-Fungible Tokens (NFTs),” New York State Bar Association Intellectual Property Law Section, December 2021
- Speaker, “Digital Assets/Blockchain Regulation,” Kaufman Rossin, Miami, September 2021
- “U.S. Regulatory Framework of Cryptocurrencies,” Itau Bank, Miami, September 2018
- “U.S. Regulatory Framework of Cryptocurrencies,” Citibank, Miami, August 2018
- Speaker, “Bitcoin, Blockchain Technology & Intellectual Property Law,” Dade County Bar Association Intellectual Property Committee Seminar, May 2018
- Co-presenter, “Financial Markets Regulatory Enforcement,” NICE Actimize 2016 Symposium, October 2016
- Presenter, “FinTech and Regulation,” Colombia Banking Association Annual Finance Law Meeting, September 2016

## Publications

- Co-author, “DAOs Watch Out: Federal Court in California decides a DAO can be a General Partnership,” *FinTech Law Report*, Reuters (February 2025)
- Author, “How To Safely Leverage AI In The Digital Assets Industry,” Law360 (November 2024)
- Quoted, “Inside Trump’s Push to Make Crypto Great Again” Moniify (November 2024)
- Interview, “Crypto.com Sues SEC: Can This Lawsuit Redefine Digital Asset Regulation?,” Cryptonews (October 2024)
- Editor, University of Chicago *Legal Matters in Web3: A Desk Reference*, “Custodial Services” Chapter (September 2024)
- Co-author, “Classifying Creditors in Digital Asset Bankruptcy Cases,” Bloomberg Law (September 2022)
- Co-author, “Recovering Digital Assets in Chapter 11 Bankruptcy,” Bloomberg Law (July 2022)

- Co-author, “El Salvador’s ‘Bitcoin Law’ Forces Governments and Businesses to Contend with Digital Assets,” *Global Banking & Finance Review* (September 2021)
  - Co-author, “Digital Assets and Blockchain Technology: US Law and Regulation” Edward Elgar Publishing (2020)
  - Co-author, “The Cross-Border Securities Business: Recent Changes and Continuing Uncertainties,” *Inside the Minds: New Developments in Securities Law* (2015 ed.)
  - Kimberly Prior mentioned in articles on bank deal: “Espirito Santo Bank in deal to be sold to Venezuelan group,” *South Florida Business Journal* (January 5, 2015) and “3 details on the Venezuelan group buying Espirito Santo,” *South Florida Business Journal* (May 11, 2015)
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## CLIENT ALERT

Federal Banking Agencies Clarify Expectations for Crypto-Asset Safekeeping

JULY 18, 2025

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## ARTICLE

*Chambers* Blockchain 2025 Global Practice Guide: Trends and Developments

JUNE 18, 2025

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## WEBINAR

Five Months Into Trump 2.0: What Business Opportunities and Regulatory Challenges Do Financial Institutions Need To Know?

JUNE 12, 2025

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## RECOGNITIONS

Winston & Strawn Recognized in *The Legal 500 U.S.* 2025

JUNE 12, 2025

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## RECOGNITIONS

Winston & Strawn Recognized in *Chambers USA* 2025

JUNE 5, 2025

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## CLIENT ALERT

“SEC Speaks” Shift in Regulatory and Philosophy Priorities

JUNE 3, 2025

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## BLOG

SEC Decides Staking Is Not (Always) A Securities Offering After All

MAY 30, 2025

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## BLOG

Not So Special After All: SEC Quietly Discards Special-Purpose Broker-Dealer Framework, Opens Digital Asset Custody to “Regular” Broker-Dealers

MAY 27, 2025

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**PRESS RELEASE**

Winston & Strawn Launches Financial Innovation & Regulation Practice  
MAY 21, 2025

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**BLOG**

OCC Confirms National Banks May Execute and Settle Digital Asset Trades  
MAY 7, 2025

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**BLOG**

Federal Reserve Withdraws Crypto-Asset Guidance: Policy Shift or Policy Compromise?  
APRIL 25, 2025

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**SPONSORSHIP**

Winston & Strawn Explores Digital Assets Regulations at Harvard Law’s Blockchain and FinTech Initiative Conference  
APRIL 25, 2025

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Capacidades

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