

BLOG



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In mid-December 2021, the SEC proposed <u>amendments</u> to Rule 10b5-1 under the Securities Exchange Act to add new conditions to the availability of the affirmative defense under the rule. Winston & Strawn attorneys Joseph Adams, David Sakowitz, and Kevin Smith explore these new regulations in our <u>Benefits Blast blog</u>.

Winston & Strawn has extensive experience counseling clients in all aspects of SEC compliance, reporting and filing requirements, securities offerings, compliance with stock exchange listing standards, Sarbanes-Oxley (SOX) compliance, the role of boards of directors, board governance best practices, and other corporate governance issues. An active member of clients' day-to-day securities and corporate governance teams, we help clients meet the changing and increasingly complex corporate governance landscape.

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