

Reminder of Annual Requirements for Investment Managers – 2019

JANUARY 2019

Investment Managers face seemingly ever increasing regulatory requirements, many of which are triggered at the beginning of the calendar year or an Investment Manager's fiscal year. This client briefing is intended as a primer for Investment Managers regarding ongoing compliance obligations and includes best practice recommendations. Please contact a member of Winston & Strawn's Investment Management Practice or your Winston & Strawn Attorney with any fact specific inquiries or for further information. Investment Managers face seemingly ever increasing regulatory requirements, many of which are triggered at the beginning of the calendar year or an Investment Manager's fiscal year. This client briefing is intended as a primer for Investment Managers regarding ongoing compliance obligations and includes best practice recommendations. Please contact a member of Winston & Strawn's Investment Management Practice or your Winston & Strawn Attorney with any fact specific inquiries or for further information.

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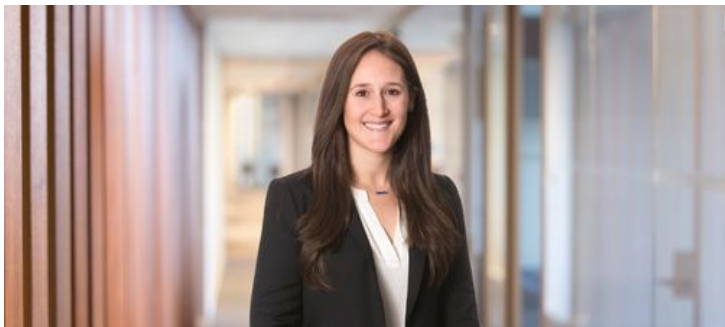
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