

## IRS and DOL Audit Issues for Retirement Plans

AUGUST 7, 2018

Winston & Strawn's [Employee Benefits & Executive Compensation Practice](#) presented an eLunch titled "IRS and DOL Audit Issues for Retirement Plans" on August 7, 2018 at 12:15 p.m. Central. Attorney Abby Brothers discussed key issues faced by plan sponsors during IRS and DOL audits of retirement plans. The most common problem areas identified by IRS and DOL agents were addressed, with practical tips for plan sponsors on how to establish and maintain internal controls to help avoid compliance errors. Topics included:

- The most significant issues DOL agents focus on during audits, including missing participants, late payroll deposits, and missed employee communications
- The most significant issues IRS agents focus on during audits, including definitions of compensation, age 70-1/2 distributions, employee eligibility requirements, and properly updated plan documents
- Steps employers can take in order to improve their internal controls for compliance with IRS and DOL requirements

[Contact Winston & Strawn for more information about this event.](#)

An eLunch briefing is a complimentary interactive seminar offered by Winston & Strawn LLP over the Internet. You'll watch and listen to a presentation at your own computer given by Winston & Strawn attorneys.

*Clients and friends of the firm are invited to attend seminars and events. We reserve the right to limit attendance at any firm event.*

NOTE: CLE credit is not available for listening to our pre-recorded eLunch or webinar briefings.

1 Min Read

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### Related Locations

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Chicago

## Related Capabilities

Executive Compensation Plans & Agreements

Health Care

## Related Regions

North America

## Related Professionals

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Abby Brothers