

Pre-Conference Training

Monday 5/8

8:00AM-9:00AM	CAMS Examination Preparation Seminar Check-in (Separate registration required.)
8:00AM-7:00PM	Main Conference Registration Desk Open - Bags, Lanyards and Badges courtesy of AI Oasis
8:00AM-9:00AM	Pre-Conference Workshop A Check-in (Separate registration required)
9:00AM-4:30PM	<p>CAMS Examination Preparation Seminar</p> <p>Led by CAMS-certified instructors, the CAMS Examination Preparation Seminar reviews critical information in an easy-to-follow format that prepares you to sit for the CAMS Examination. Benefit from this interactive study session as AML experts walk you through core content areas and practice questions.</p> <p>Kevin Sullivan, CAMS, President, The AML Training Academy and Advisory LLC</p> <p>To register for a live-preparation seminar please contact salesrequests@acams.org.</p>

9:00AM-12:00PM

WORKSHOP A - Ramping Up Risk Assessment: Designing a Plan that Demonstrates Effectiveness

*Separate registration required. Please inquire with your Account Representative. The risk of conducting poor risk assessments – or not doing them at all – is likely to get much higher. A planned FinCen mandate, disclosed early this year, not only formally requires financial institutions to assess their exposure to money launderers and other criminals, it calls for AML programs to be “effective and reasonably designed.” How can your financial institution, whatever its size, meet these enhanced requirements? Learn how at this hands-on workshop, where expert presenters will detail exactly how to design an effective risk assessment. Perhaps most importantly, you’ll also learn how to turn your RA into an action plan that goes beyond a checklist.

Key Areas Covered

- Demonstrating effectiveness. How to show that your RA is doing what it is supposed to do.
- Factoring intangible risks. Ways to quantify risks that are so far down the road there is no immediate impact.
- Getting the timing right. When and how often should you be assessing and communicating risks?
- Moving from assessment to action. Turning the risk assessment into an action plan

Who Should Attend

- Compliance Managers
- IT Specialists
- AML Officers
- Regulators
- FIU Team Members
- Industry Consultants

Facilitators:

Hue Dang, CAMS-Audit, Global Head of New Ventures, **ACAMS**

Howard R. Fields, CAMS, Chief Compliance Officer, **Mastercard**

Susan Powers, Head of AML Programs, **PNC**

12:00PM-1:00PM

Workshop B Check-in (Separate registration required.)

1:00PM-4:00PM

WORKSHOP B - De-crypto-ed: Developing a Winning Strategy to Mitigate Crypto Asset Risks

*Separate registration required. Please inquire with your Account Representative. 2022 was a difficult year for digital assets. From the Coinbase layoffs to the collapse of FTX, the recent crypto scandals shocked the world. These unprecedented developments not only jeopardized the future of the innovative products in financial services, but also spotlighted new virtual asset related risks impacting financial institutions and their customers. Join us in this hands-on workshop, where our expert speakers will provide detailed instructions on ways to review crypto risk exposure, create risk appetite statements and develop mitigating actions. Whether you're with a FinTech firm, traditional bank or a payment processor, this in-depth workshop will equip you with a blueprint to steer your AML/AFC program into the next generation.

Key Areas Covered

- Pinpointing crypto risk exposure for different products and sectors and establishing threat management procedures in your control framework
- Defining the differences between digital asset classes to ensure AML/AFC models address unique risk management needs of distinct crypto categories
- Understanding additional technology tools needed, essential staff expertise and engagement with stakeholders and clients

Who Should Attend

- AML Officers of FIs and NBFIs
- Risk Managers
- IT Specialists
- Compliance Team Leaders
- Payment Processors
- FinTech
- Legal Advisors
- Industry Consultants

Facilitators:

Shilpa Arora, Senior Director, Anti-Financial Crime (AFC) Portfolio Solutions, **ACAMS**

Amelia Childress, Chief Compliance Officer, **Block**

Mark duBose, Chief Compliance and Risk Officer, **Anchorage Digital Bank**

Jas Randhawa, Founder and Managing Partner, **StrategyBRIX**

Melissa Strait, CAMS, Chief Compliance Officer, **Coinbase**

5:00PM-5:30PM

ACAMS First-Time Attendee Orientation

5:30PM-7:00PM

Welcome Reception in South Palm Court

Day 1

Tuesday 5/9

7:30AM-5:10PM	Registration Desk Open - Bags, Lanyards and Badges courtesy of AI Oasis
7:30AM-6:10PM	Exhibit Hall Open
7:30AM-8:30AM	Networking Continental Breakfast in Exhibit Hall
8:30AM-8:40AM	Welcome Remarks Scott Liles, Chief Executive Officer, ACAMS
8:40AM-9:10AM	Keynote Presentation and Q&A
8:40AM-9:15AM	Global AFC Threats: Today's Challenges and Hazards on the Horizon Moderator: Jennifer Fowler, Director for Public Policy, Meta Financial Technologies Presenters: Jean Chung, CAMS, Managing Director, Regional Head, CFCC Advisory, CCIB, Asia, Standard Chartered Bank Hong Kong Howard R. Fields, CAMS, Chief Compliance Officer, Mastercard Markus E. Schulz, Deputy Global Head FCC & Head Change Management for Financial Crimes Compliance (FCC), ING

9:15AM-10:35AM

Regulatory Roundtable: Inside Insights from the Leaders of Oversight

ACAMS Hollywood is where the compliance world's thought leaders gather – and that includes the top-tier regulators who guide the agencies that develop the standards that AML professionals must meet. At this authoritative and fact-packed session, regulators from multiple oversight agencies share insights and observations on all things AML – from initiatives like FinCEN's National Priorities to the ever-changing trajectories of sanctions against Russia and other bad actors to evolving expectations for issues like the effectiveness standard. No matter the size or nature of your institution, this panel presents a can't-miss opportunity to hear firsthand about compliance trends affecting the private sector from the public sector authorities driving the change.

Moderator:

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**

Presenters:

Lisa D. Arquette, CAMS, Associate Director, Anti-Money Laundering & Cyber Fraud Branch, **Federal Deposit Insurance Corporation (FDIC)**

Koko Ives, Manager, BSA/AML Compliance Section, Division of Supervision and Regulation, **Federal Reserve Board**

Donna M. Murphy, Deputy Comptroller for Compliance Risk, **Office of the Comptroller of the Currency (OCC)**

Jay Song, Director of the Office of Compliance, **Financial Crimes Enforcement Network (FinCEN)**

10:35AM-11:05AM

Networking and Refreshment Break in Exhibit Hall

Sanctions Space Live Podcast

11:05AM-12:05PM

Global FIU Keynote Panel Discussion: Sharing Insights, Priorities, and Perspectives

- Understanding the purpose and priorities of Egmont and FIUs attending, including the membership, working groups, and regional groups, and discussing information sharing initiatives and challenges
- Addressing the FIU's capabilities and involvement in the fight against terrorism, Money Laundering, Sanction Evaders, corruption, and other illicit financial crimes and coordinating with Law Enforcement and the financial sector
- Detailing initiatives to modernize the AML regimes, including STR/SAR reporting, information and data sharing, and public/private partnerships

Moderator:

Craig Timm, U.S. Head of AML, **ACAMS**

Presenters:

Himamauli Das, Acting Director, **Financial Crimes Enforcement Network (FinCEN)**

Vince O'Brien, Deputy Director, Head of the UK Financial Intelligence Unit and Proceeds of Crime Centre (PoCC), **National Crime Agency**

Sarah Paquet, Director and Chief Executive Officer, **Financial Transactions and Report Analysis Centre of Canada (FINTRAC)**

Hennie Verbeek-Kusters, Head, **FIU-Netherlands**

12:05PM-1:20PM

Networking Luncheon

12:05PM-1:20PM

How Banks Are Using AI to Overcome FinCrime Staffing & Regulatory Challenges - Presented by WorkFusion*By Invitation only*

- Solving operational challenges that are shared across the Financial Crime Fighting Community including staffing, false positive alerts, ability to scale, and customer churn
- Creating operational resilience without just passing the stress off to Ops and Tech teams
- Understanding why regulators are encouraging innovation in AML compliance programs and transforming and augmenting FinCrime compliance teams with AI Digital Workers to work smarter so personnel can be “upskilled” from mundane tasks

Moderator:Grant Vickers, Head of Financial Crime Strategy, **WorkFusion****Presenters:**Hunter Kreger, Vice President, FIU Deputy OFAC Officer, **Atlantic Union Bank**Alex Sardinas, Regulatory Risk Optimization Manager, **Ocean Bank**Deon Vanterpool, Vice President, **Bank of Asia (BVI) Limited**

1:20PM-2:20PM

Case Study: Justice is Served for Bakery Owner Who Laundered Lottery Scam Funds

Jamaican lottery scams, often preying on the elderly, are a long-standing scourge in the Caribbean nation and in other countries with large Jamaican communities. This case began with a low-dollar Suspicious Activity Report that identified a bakery sending money to Jamaica as a money remitting agent. Subsequent investigation uncovered multiple conspirators who first defrauded victims by telling them they needed to prepay fees and taxes associated with the lottery prize. The bakery owner, who also operated several money transfer businesses, eventually wired the fraud proceeds to Jamaica. At this session, discover how investigators used the Bank Secrecy Act, along with testimony from the filing institutions, to obtain convictions from seven defendants in case where the amount of money laundered exceeded \$3 million.

Presenters:Brooke Gnani, Special Agent, **Internal Revenue Service - Criminal Investigation (IRS-CI)**Jeffrey Munoz, Task Force Agent, **Internal Revenue Service - Criminal Investigation (IRS-CI)**

1:20PM-2:20PM

A High Priority, on Highest Authority: Operationalizing FinCEN's National Priorities

- Reviewing announced FinCEN National Priorities to analyze full scope of BSA/AML obligations in areas such as anti-corruption, cybercrime, TCOs and foreign and domestic terrorist financing
- Assessing operational impact in areas such as client profiles, business lines and geographic footprint to evaluate strategies for incorporating FinCEN Priorities into existing AML programs
- Gauging potential effects of FinCEN Priorities in areas such as KYC/CDD of clients and vendors to ensure new standards are incorporated into operating agreements going forward

Moderator:

Craig Timm, U.S. Head of AML, **ACAMS**

Presenters:

Kelly Cooper, CAMS, Vice President, Global Financial Crimes Compliance, **JPMorgan Chase & Co.**

Jamal El-Hindi, Counsel, Americas Litigation and Dispute Resolution, **Clifford Chance**

Michael Hertzberg, Assistant Director, Office of Terrorist Financing and Financial Crimes, **U.S. Department of the Treasury**

1:20PM-2:20PM

Upgrading Risk Rating: Calibrating Controls to Address and Assess Evolving AML Needs

- Simplifying oversight modeling across enterprise with standardized inputs such as geographic and sectoral factors to achieve consistent and holistic risk assessments across business lines
- Implementing rigorous data verification controls to address issues such as inconsistent or ambiguous information and ensure risk rating accuracy based on highest-quality intelligence
- Assembling quality control teams of IT specialists, compliance leaders and subject matter experts to update workflows, test algorithms and update risk rating protocols as warranted

Moderator:

William D. Langford, CAMS, Global Head of Financial Crimes Compliance and Chief Compliance Officer, **MUFG**

Presenters:

Victoria Liu Edison, CAMS, Chief Compliance Officer, **Chime**

Susan Powers, Head of AML Programs, **PNC**

James Sayko, Financial Crimes Leader, Corporate and Investment Banking, **Wells Fargo**

Sanctions Evasion Threat Planning Assumptions: New Typologies, Techniques

Post-Feb 2022 sanctions against Russia have put sanctions evasion front and center as both financial institutions and corporates scramble to map and reduce exposure, to spot unusual or borderline activity amid a myriad of exemptions for conducting legitimate business. Our experts will set out the latest sanctions evasion trends, including how hidden ownership is necessitating the need for a fresh look at the practical aspects of ownership and control. Drawing upon real life case studies, investigations, and REPO task force activity our panel will share thoughts on threat planning assumptions in respect to areas of heightened risk, allocation of compliance and investigative resources, and overall sanction evasion risk mitigation and threat planning.

Moderator:

Chloe Cina, Head of Global Sanctions Advisory, **Deutsche Bank**

Presenters:

Michael Lee, National Sanctions Coordinator, **Internal Revenue Service - Criminal Investigation (IRS-CI)**

Vince O'Brien, Deputy Director, Head of the UK Financial Intelligence Unit and Proceeds of Crime Centre (PoCC), **National Crime Agency**

Angelique Roberts, Managing Director, Head of Sanctions Compliance, Latin America and Mexico, **Citi**

1:20PM-2:20PM

Reach for the SARs? Formalizing Protocols for Electing or Declining to File a SAR

- Outlining when SAR is required such as insider abuse of any amount or transactions violating BSA to build staff awareness of mandates including 30-day filing deadline after initial detection
- Configuring monitoring to flag defined suspicious activities such as transactions counter to account purpose or unclear funding sources to initiate review processes and data-gathering
- Weighing assembled evidence such as how activity took place and potential reasonable explanations to ensure SAR-filing determinations are thorough, well-articulated and defensible

Moderator:

Dennis M. Lormel, CAMS, President and Chief Executive Officer, **DML Associates**

Presenters:

Patricia Emerson, Head of Financial Intelligence Unit, **PNC**

Tyler Reynolds, CAMS, Executive Director Enterprise Financial Crimes Compliance, BSA/AML Officer, **US Bank**

Kevin Toth, CAMS-FCI, Senior Manager, Special Investigations Unit, **Capital One**

1:20PM-2:20PM

Fix the System: Adjusting Tech as Data Quality, IT Capacity and AML Objectives Evolve

- Utilizing evolving AI-related innovations such as cognitive computing to bolster data analytics and leverage AML resources in areas such as KYC, anomaly detection and risk assessment
- Outlining methods to keep tech systems robust with cloud-based services such as Software as a Service (SaaS) to support AML functions including data enrichment and fraud detection
- Understanding increasing AML applications for machine learning tools such as algorithm-led graph analytics to detect relationships among entities and evaluate potential criminal networks
- Transforming product and technology delivery in financial crimes by leveraging product model, agility, and modern technologies

Moderator:

Howard R. Fields, CAMS, Chief Compliance Officer, **Mastercard**

Presenters:

Mikhail Abramchyk, Co-founder, Growth Strategy and SVP Product, **WorkFusion**

Peter Bucka, Director, Financial Crimes Technology, **US Bank** Maura Liconte, **AML Expert**

1:20PM-2:20PM

Crypto Crime Trends and Emerging Risks - Presented by TRM Labs

A compliance program's ability to produce valuable and actionable intelligence for high priority risks and targets is one of the best indicators of effectiveness. And to be able to produce those kinds of leads for law enforcement is a better real-time understanding and ability to identify emerging risks and trends in the larger crypto crime landscape. In this session we will cover emerging risks including nested exchanges, cross-chain criminal activity, SQUID scams and laundromat, crypto NFTs in terrorist financing, illicit activity facilitation via payment processors and more.

Presenter:Kinsey Cronin, Business Development, **TRM Labs**

1:20PM-2:20PM

Overcoming Inertia - Creative Ways Banking Compliance Adopts the Latest RegTech - Presented by HAWK:AI

- Diffusing the latest AFC technology innovation into banks through PoCs and modular, consecutive adoption
- Overcoming the resistance from other internal teams around data privacy and integration
- Augmenting AML and Fraud systems, workflows, and teams without a legacy rip-and-replace strategy

Moderator:Austin Hong, Partner, Finance & Risk, Americas Anti-Financial Crimes & Compliance, **Oliver Wyman****Presenters:**Xan Kasprzak, Vice President of Product, **CSI**Tobias Schweiger, Chief Executive Officer and Co-Founder, **Hawk:AI**

2:20PM-2:40PM

Networking and Refreshment Break in Exhibit Hall

2:40PM-3:10PM

Networking for Caribbean and Latin America

Beautiful beaches and warm waters are pretty much the norm for attendees from offshore financial centers, so this networking session provides a friendly alternative to fun in the sun: Friendly socializing with your neighbors (figuratively speaking.) Catch up with old acquaintances – and make some new ones – at this assemblage of professional peers from the dynamic community of AML and compliance specialists hailing from the vibrant world of financial centers from the Caribbean and Latin America.

Facilitators:

Luis Alvarez, Chief Compliance Officer, **Mastercard Transaction Services (MTS)**

Dr. Tanya McCartney, Chief Executive Officer and Executive Director, **Bahamas Financial Services Board** □

2:40PM-3:40PM

Case Study: Hot on the Case: HSI's Operation Boiling Point Takes on Organized Retail Crime

- Outlining facilitation by Organized Theft Groups (OTGs) of Organized Retail Crime (ORC) in US, generating \$70 billion annually to fund illicit crimes such as drug trafficking and money laundering
- Reviewing ORC laundering typologies such as use of fencers to sell goods via e-commerce or sales to legitimate wholesale/distribution firms to reintroduce stolen product to supply chains
- Examining how HSI's Operation Boiling Point targets domestic and transnational OTGs with public-private partnering and officers from state, federal and local law enforcement agencies

Moderator/Presenter:

Raul Aguilar, Deputy Assistant Director, **Homeland Security Investigations (HSI)**

Presenters:

Raul Aguilar, Deputy Assistant Director, **Homeland Security Investigations (HSI)**

Michael Casson, Assistant State Attorney, **Will County State Attorney's Office**

Dant Foulk, Assistant State Attorney, **Will County State Attorney's Office**

Kevin Toth, CAMS-FCI, Senior Manager, Special Investigations Unit, **Capital One**

2:40PM-3:40PM

The AML Act's Next Act: A Clear-Eyed Analysis of AMLA 2020 Trends and Outlook

- Examining the Corporate Transparency Act final rule to delineate requirements for beneficial ownership information reporting and prepare appropriately for Jan. 1, 2024 effective date
- Updating status of FinCEN's proposed rulemaking on no-action letters to gain insights on how process would work and could impact compliance management at financial institutions
- Surveying anticipated AMLA impacts in areas such as broader adoption of technology by BSA programs and supporting public-private partnerships and information sharing initiatives

Moderator:

Sarah Runge, Director, Global Payments, Regulatory, **Meta Payments**

Presenters:

Montgomery Engel, Executive Director and Assistant General Counsel, **JPMorgan Chase & Co.**

Richard Graham, Director Industry Practice Lead, **Moody's Analytics**

Nicholas Piccininni, Executive Vice President, BSA Officer, **Wells Fargo**

Dan Stipano, Partner, **Davis Polk & Wardwell LLP**

2:40PM-3:40PM

An Inside Look: Best Practices for Conducting Internal Risk Assessments to Bolster AML

Deep-Dive Session

- Cataloging products and services, clients and geographic markets to prioritize categories of BSA/AML risk and develop strategic plans to conduct assessment based on institutional profile
- Reviewing individual components within categories and assigning metrics-based ratings to assess degree of comparative risks and identify potential conflicts with risk appetite
- Auditing AML systems for effectiveness in managing identified risks to determine systemic deficiencies and take remedial actions such as strengthened controls or added IT capacity

Moderator:

Hue Dang, CAMS-Audit, Global Head of New Ventures, **ACAMS**

Presenters:

Heather Allen, Deputy Director of Financial Crime, Senior Vice President, **Truist Financial Corp.**

Victoria Liu Edison, CAMS, Chief Compliance Officer, **Chime**

Kate Eyerman, BSA/AML and Sanctions Officer, **Paxos**

The Rise and Trajectory of Cyber, Human Rights and Corruption Sanctions Regimes – the impact of horizontal regimes on sanctions compliance

**The Rise and Trajectory of Cyber, Human Rights and Corruption Sanctions Regimes – the impact of horizontal regimes on sanctions compliance
Part 1 (20 mins)**

This fireside chat will offer an analysis on the rise and trajectory of horizontal sanctions regimes to combat cybercrime, corruption, and human rights abuses, including those perceived to be engaged in forced labor or crackdowns on pro-democracy movements.

Moderator:

Justyna P. Gudzowska, Director, Illicit Finance Policy, **The Sentry**

Presenter:

Ambassador James O'Brien, Head of the Office of Sanctions Coordination, **U.S. Department of State**

**The Rise and Trajectory of Cyber, Human Rights and Corruption Sanctions Regimes – the impact of horizontal regimes on sanctions compliance
Part 2 (40 mins)**

Experts will explore the types of individuals and entities being targeted by sanctions, recent findings from forced labor reports, implications for risk assessment, compliance frameworks and global operations. The panel will further examine anticipated future hot spots for designations and enforcement, plus offer guidance on overall best-practice mitigation efforts for managing exposure to sectors and jurisdictions considered higher risk.

Moderator:

Justyna P. Gudzowska, Director, Illicit Finance Policy, **The Sentry**

Presenters:

Heather Epstein, Director, Americas Head of Sanctions and ABC, **Barclays**

Andrew Jensen, Managing Director and Global Head, Sanctions and Screening, **Scotiabank**

Written in the SARs: Producing SAR Narratives of Optimal Value to Law Enforcement

Deep-Dive Session

- Identifying suspicious activity elements of prime relevance to law enforcement such as who, what, when and how to guide narrative flow and enhance value of information to investigators
- Following FinCEN and FFIEC guidance for constructing concise narratives that are free of jargon, comprehensive and chronological to provide clear view of reasoning behind SAR filing
- Reviewing law enforcement cases supported by BSA data and SARs as recognized by FinCEN to highlight best practices for producing narratives that assist in fighting financial crime

Moderator:

Victor R. Cardona, CAMS, Senior Vice President, BSA Officer, **Golden State Bank**

Presenters:

Michael P. Grady, Chief, Bank Integrity Unit, **U.S. Department of Justice, Criminal Division**

Keniel Ledgister, Deputy Attaché Barbados Post, **Internal Revenue Service - Criminal Investigation (IRS-CI)**

Stephen Richardson, CAMS, HSI FinCEN Liaison, HSI Financial Crimes Unit, **U.S. Department of Homeland Security (HSI)**

2:40PM-3:40PM

Reality Check on Tech: Analyzing Benefits, Limitations and Risks of AI's Role In AML

- Detailing AI's strengths in AML such as superior capacity to process data and detect concealed patterns or illicit networks through both supervised and unsupervised learning
- Discussing challenges in implementing and managing AI in risk management such as integration with legacy systems, data/privacy protection and acquiring human expertise
- Identifying risks involving AI such as algorithmic bias and deciphering results from "black box" computing to develop controls in areas such as data testing and system transparency

Moderator:

Shilpa Arora, Senior Director, Anti-Financial Crime (AFC) Portfolio Solutions, **ACAMS**

Presenters:

James A. Candelmo, CAMS, Chief Bank Secrecy Act and AML Sanctions Officer, **PNC**

Meera Das, Vice President, AML Modeling, Data and Analytics, **Capital One**

Patrick Dutton, Financial Crimes Strategic Intelligence Director, Financial Crime Risk Management, **Wells Fargo**

Deleep Nair, Head of Solution Engineering, NAM, **SymphonyAI Sensa**

2:40PM-3:40PM

Working Together to Improve AML/CFT Investigations - Presented by Verafin

- Exploring information sharing capabilities, including managed analytics, messaging services, consortium analytics, adverse incidents, collaborative investigations, and law enforcement engagement
- Understanding how combining these information sharing mechanisms, as Collaborative Frameworks, can enable the industry to disrupt financial crimes
- Examining how consortium analytics can produce better outcomes for AML/CFT investigations

Presenters:

Bill Heys, Product Lead, AML, Verafin Corey Lynch, CAMS, Product Expert, **Verafin**

Corey Lynch, CAMS, Product Expert, **Verafin**

2:40PM-3:40PM

Detect and Prevent Child Sexual Exploitation in Your Bank - Presented by RedCompass Labs

- Understanding the link between online Child Sexual Exploitation and Financial Crime
- Using specific red flags and intelligence resources to identify signs of online Child Sexual Exploitation
- Running targeted investigations utilizing red flags and external intelligence in persona-driven models

Moderator:

Jonathan Bell, President, Head of Client Relationships, **RedCompass Labs**

Presenters:

Karen Arrabal, CAMS, Senior Financial Crimes Director, **Fifth Third Bank**

Silvija Krupena, CAMS, Head of Financial Crime, **RedCompass Labs**

Brad Moulton, Head of Partnerships, **ICMEC Australia**

3:40PM-4:10PM

Networking and Refreshment Break in Exhibit Hall

4:10PM-4:40PM

Networking for Risk Professionals

Of course there's no such thing as a risk-free environment, but this networking time is as close as it comes. Our expert facilitators will guide the conversation in a smaller, more relaxed setting. You can ask questions and share insights with your professional peers – because career growth comes from both what you know and whom you know.

Facilitator:

Hue Dang, CAMS-Audit, Global Head of New Ventures, **ACAMS**

4:10PM-4:40PM

Networking for Law Enforcement and Banking Professionals

Want to strengthen your private/public network for optimal collaboration? This connection corner brings together law enforcement and banking professionals from across the highly diverse AML universe for contact creation, casual conversation and informal exchanging of ideas and interests. There's no agenda, and the sole learning goal is the names of new friends and fostering partnerships.

Facilitators:

Raul Aguilar, Deputy Assistant Director, **Homeland Security Investigations (HSI)**

Nicholas Schumann, US Head of Financial Crime Program, Framework & Engagement, **HSBC**

4:10PM-5:10PM

AML Policies That Pay Off: Strengthening Anti-Bribery and Corruption Oversight

- Reviewing recent corruption cases including Miami conviction of former Venezuelan national treasurer to identify typologies of bribery and related multi-national money laundering activities
- Analyzing FinCEN's kleptocracy/corruption advisory to adapt monitoring for red flags such as luxury goods purchases by foreign public officials and funds transfers via high-risk jurisdictions
- Formalizing ABAC risk management protocols such as outreach to law enforcement and NGOs, peer group data sharing and prompt alert resolution and SAR filing as indicated

Moderator:

Richard Weber, Partner, **Winston & Strawn**

Presenters:

Darcos Cruz, Special Agent, Miami International Corruption Squad (ICS), **Federal Bureau of Investigation (FBI)**

Samuel Barnett, Policy Advisor, Office of Terrorist Financing and Financial Crimes, **U.S. Department of the Treasury**

Lakshmi Kumar, Policy Director, **Global Financial Integrity**

Alex Zerden, Former U.S. Treasury Department Official, CNAS Adjunct Senior Fellow and Founder, **Capitol Peak Strategies LLC**

4:10PM-5:10PM

Deep-Dive Session Smart Strategies for Adopting the New ISO 20022 Payments Messaging Standard

- Detailing ISO 20022 payment messaging benefits such as the structured and data-rich common payment language to enhance both analytics opportunities and operating efficiencies
- Crafting an ISO 20022 implementation plan to include areas such as IT upgrade needs, model validation tools and future adaptability to ensure optimal results of system migration process
- Talking points will be provided for assessing the readiness of your institution to benefit from the ISO 20022 messaging standard

Presenters:

Michael Knorr, Head of Payments & Liquidity Management Global Payments, **Wells Fargo Corporate & Investment Banking**

Peter D. Wild, CAMS-Audit, Founder, **Wild AML Solutions**

4:10PM-5:10PM

Checking IDs: Taking Ownership of Mitigating Beneficial Owner Verification Risks

- Assessing relevant risks such as opaque corporate structures and obscured controlling parties to develop beneficial owner verification protocols for client onboarding, KYC/CDD and EDD
- Analyzing evolving beneficial ownerships tools and trends such as Corporate Transparency Act and other registries, OSINT and public-private collaboration to strengthen UBO investigations
- Reviewing impact of Russian sanctions on areas such as monitoring, evasion typologies and PEP exposure to develop UBO controls for cross-border transactions and related global risks

Moderator:

William J. Voorhees, CAMS, Senior Vice President, Senior Director Financial Intelligence Unit, **Truist Financial Corp.**

Presenters:

Zaib Rasool, Policy Advisor, **U.S. Department of Treasury**

Jon Roper, CAMS, Senior Vice President, Director, Financial Crimes Operations, **US Bank**

James Sayko, Financial Crimes Leader, Corporate and Investment Banking, **Wells Fargo**

4:10PM-5:10PM

Fund Raising through Crypto – Sanctions Evasion and Terrorism

Like other financial products and services, crypto presents risks for facilitating criminality, including sanctions evasion and terrorist financing. Drawing upon recent regulatory actions, government advisories and open-source intelligence, this session will examine the extent that crypto is a tool for sanctions evasion and illicit fund raising. Our experts will explore current reporting on the use of non-fungible tokens by sanctioned Russian militias and Islamic State connected entities, alongside wider fund-raising methodologies and the specific risks posed for the international financial system. We will conclude with offering some practical solutions for assessing and mitigating crypto risks.

Moderator:

Joby Carpenter, Global SME – Cryptoassets and Illicit Finance, **ACAMS**

Presenters:

Julie Lascar, Senior Policy Advisor, Office of Terrorist Financing and Financial Crimes, **U.S. Department of Treasury**

David Lee, CAMS, Director for Sanctions Compliance and OFAC Officer, **Circle Internet Financial**

Patrick Wyman, Chief, Virtual Assets Unit, **Federal Bureau of Investigations (FBI)**

SAR Command: Battle Plans to Safely and Effectively Curb Excessive Filing Volumes

To paraphrase a saying about the weather, everybody complains about high SAR volumes, but nobody does anything about it. Maybe it's time – beginning with this lively, thought-provoking and interactive panel. Led by seasoned compliance veterans, the forum-style session will debate and rate your questions and suggestions on safely reducing filing volumes. Whether it's exiting SAR-heavy accounts, reducing “defensive SARs” through stronger KYC or maybe just chatting with examiners, bring your theories and queries on changing, if not the weather, the current climate of escalating SAR filing volumes.

Moderator:

Jack Oskvarek, CAMS, Senior Vice President, BSA Executive Director,
Wintrust Financial Corporation

Presenters:

Heather Allen, Deputy Director of Financial Crime, Senior Vice President,
Truist Financial Corp.

Patrick Dutton, Financial Crimes Strategic Intelligence Director, Financial
Crime Risk Management, **Wells Fargo**

Adam McLaughlin, Head of Strategy & Marketing, AML, **NICE Actimize**

4:10PM-5:10PM

Open Fishbowl Session

For this “fishbowl” session, audience members who raise their hand, figuratively speaking, beforehand will be invited to take a guest turn on stage and share their take on the topic. Step up – literally – and help lead this thought-provoking discussion, made richer by your participation.

Computing a Solution: How to Pick the Appropriate AML Tech Vendor

- Consulting compliance and business line leaders to discern institutional risk management priorities and identify opportunities to utilize third-party vendors to enhance AML effectiveness
- Vetting potential vendors with metrics-based assessments in areas such as product and services, cybersecurity and scalability to assess compatibility with institutional goals
- Crafting vendor integration plan to maintain AML discipline during transition and conducting post-installation review to evaluate performance and jointly correct systemic issues as needed

Moderator:

Carolina Ceballos, Chief Compliance Officer, **Paxos**

Presenters:

Peter Bucka, Director, Financial Crimes Technology, **US Bank**

Siobhain Ivers, Director, Global Compliance, **Etsy**

Vishal Ranjane, Head of Global AML Strategy, Solution and Transformation,
TD

5:10PM-6:10PM

Networking Reception in Exhibit Hall

Day 2

Wednesday 5/10

7:30AM-5:20PM	Registration Desk Open - Bags, Lanyards and Badges courtesy of AI Oasis
7:30AM-5:20PM	Exhibit Hall Open
7:30AM-8:20AM	Networking Continental Breakfast in Exhibit Hall
8:20AM-8:35AM	Opening Remarks Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, ACAMS
8:35AM-9:05AM	Keynote/ Special Presentation Markiyian Kliuchkovskiy, Advisor to the Office of the President of Ukraine Moderator: Dr. Justine Walker, Head of Global Sanctions and Risk, ACAMS
9:05AM-10:05AM	Weathering Crypto Winter: The Forecast for Digital Assets From the shocking collapse of FTX to the stunning price drops in leading virtual currencies, the last year has tested the digital assets market to an unprecedented degree. Some leading economists are suggesting a perilous path ahead for cryptos, with some questioning whether they can ever regain the momentum they enjoyed just a few years ago,. But others note that these innovative financial products have suffered serious setbacks before – and always rebounded. This session brings together thought leaders from across the financial services spectrum to assess what crypto winter has taught us – and assess the prospects for a crypto spring. Moderator: Joby Carpenter, Global SME – Cryptoassets and Illicit Finance, ACAMS Presenters: Mark duBose, Chief Compliance and Risk Officer, Anchorage Digital Bank Yaya Fanusie, Director, AML and Cyber Risk, Crypto Council for Innovation Jennifer Fowler, Director for Public Policy, Meta Financial Technologies Melissa Strait, CAMS, Chief Compliance Officer, Coinbase

10:05AM-10:35AM | Special Presentation: Financial Intelligence Leaders Spotlight

Moderator:

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**

Presenters:

Jeffrey Cannon, Head of Global FIU, **JPMorgan Chase & Co.**

Marcy Forman, CAMS, CGSS, Managing Director, Global Financial Crimes Investigations and Intelligence Head, **Citigroup**

Christopher Ruzich, JD, CAMS, Head, Financial Crime Intelligence Unit (FCIU), Americas, **Deutsche Bank**

10:35AM-11:05AM | Networking and Refreshment Break in Exhibit Hall

11:05AM-11:20AM | Fireside Chat: U.S Sanctions Coordination and Expansion

Ambassador James O'Brien, Head of the Office of Sanctions Coordination, **U.S. Department of State**

Moderator:

Dr. Justine Walker, Head of Global Sanctions and Risk, **ACAMS**

11:20AM-12:05PM

Dynamics of the Global Sanctions Landscape and Its Convergence with AML

Russia's invasion of Ukraine resulted in sanctions becoming an unprecedented tool of foreign policy response. Looking into 2023 and beyond, our expert panel will examine the sanctions trajectory and its anticipated influence on the overall compliance landscape. We will offer an analysis on the state of play between world economies, the emergence of sanctions and AML risks, proposals to forfeit sanctioned Russian assets and potential major flash points for sanctions compliance. The panel will conclude with a forward-leaning assessment of the top priorities for G7 allies and national parliaments, as well as examining how industry can best ensure readiness for future geopolitical, regulatory, and legislative developments.

Moderator:

Dr. Justine Walker, Head of Global Sanctions and Risk, **ACAMS**

Presenters:

Jessica Bartlett, Managing Director, Global Head of Financial Crime Legal, **Barclays**

Markus E. Schulz, Deputy Global Head FCC & Head Change Management for Financial Crimes Compliance (FCC), **ING**

John E. Smith, Co-head, **Morrison & Foerster's National Security Practice**

Giles Thomson, Director, **Office for Financial Sanctions Implementation (OFSI) and Economic Crime**

12:05PM-1:20PM

Networking Luncheon

Deep-Dive Session: The Typologies of 'Pig Butchering' and Other Emerging Scams

- Tracing how organized “shazhupan” rings in China connect with US victims through tools such as unsolicited texts or social media to foster trusting relationships of casual nature
- Detailing how targets are eventually led to bogus financial portals offering unusually high returns and place increasing sums in accounts which bad actors loot before disappearing
- Analyzing lessons learned from recent criminal cases resulting in indictments related to ‘pig butchering’ to build staff awareness of red flags and protect customers most at risk

Moderator:

Victor R. Cardona, CAMS, Senior Vice President, BSA Officer, **Golden State Bank**

Presenters:

Dan Callaghan, Section Chief, Financial Crimes Unit, **Homeland Security Investigations (HSI)**

Jason Ghetian, Special Agent, **Federal Bureau of Investigation (FBI)**

Alona Katz, Deputy Bureau Chief, Cybercrime and Identity Theft Bureau, **Manhattan District Attorney's Office**

Eileen Rico, Special Agent, **Internal Revenue Service - Criminal Investigation (IRS-CI)**

Age-Related Fraud: Detection and Protection Systems to Counter Elder Financial Abuse

- Building staff awareness of multiple typologies of elder fraud such as caregiver/kin exploitation, romance scams and impersonation of government authorities or financial service providers
- Creating risk management controls for unique elder fraud indicators such as abrupt power of attorney changes or aberrant spending patterns to detect and resolve red flags of exploitation
- Engaging with law enforcement and social service providers to maintain awareness of elder fraud trends and establishing protocols for notifying authorities and filing SARs as warranted

Moderator:

Sharon Campbell, Executive, Financial Crime Operations, Technology and Enterprise Operations, **National Australia Bank**

Presenters:

Michael Brown, Supervisory Special Agent, **Federal Bureau of Investigation (FBI)**

Michael Bruno, Postal Inspector Program Manager, **U.S. Postal Inspection Service (USPIS)**

Marcy Forman, CAMS, CGSS, Managing Director, Global Financial Crimes Investigations and Intelligence Head, **Citigroup**

Caribbean Spotlight on Correspondent Banking: Can Managing the Risks Mean Reaping the Rewards?

Caribbean Spotlight on Correspondent Banking: Can Managing the Risks Mean Reaping the Rewards?

- Analyzing existing product lines and services to determine potential opportunities to offer correspondent accounts while maintaining institutional risk appetite and tolerance standards
- Conducting risk assessment of respondent bank in areas such as AML policies, ABAC oversight and culture of compliance to determine parameters of correspondent relationship
- Attaining senior management and business line buy-in on correspondent banking oversight to establish core principles in areas such as staff training, client monitoring and conflict resolution

Moderator:

Rick McDonell, Former Executive Secretary, Financial Action Task Force (FATF), Executive Director, **ACAMS**

Presenters:

Enrique Hayem, AML Compliance Risk Management, LATAM, **Citi**

Dr. Tanya McCartney, Chief Executive Officer and Executive Director, **Bahamas Financial Services Board**

Tres Thompson, Senior Policy Advisor, Office of Terrorist Financing and Financial Crimes, **U.S. Department of Treasury**

Doubling Down Against Financial Crime: Making Public-Private Partnerships Work

Law enforcement officers and AML professionals share a common mission: Catching crooks. From fraudsters to narco-traffickers, corrupt politicians to terrorists, the goal in both the public and private sectors is to do well by doing good. And in a time of pinched resources and increasingly sophisticated criminals, it only makes sense to do it together. But how? In this session, panelists from law enforcement agencies and financial institutions do a deep dive on bridging divides in areas such as organizational cultures, data privacy and investigative methods – all in the pursuit of producing collaborative efforts that leverage resources and better serve the public interest.

Moderator:

Dennis M. Lormel, CAMS, President and Chief Executive Officer, **DML Associates**

Presenters:

James Barnacle, Chief, Financial Crimes Section, Criminal Investigative Division, **Federal Bureau of Investigation (FBI)**

James A. Candelmo, CAMS, Chief Bank Secrecy Act and AML Sanctions Officer, **PNC**

Jeffrey Cannon, Head of Global FIU, **JPMorgan Chase & Co.**

Monique Fields, Special Agent, Internal Revenue Service, **Criminal Investigation (IRS-CI)**

Stephen Richardson, CAMS, Senior Liaison Officer, HSI Financial Crimes Unit, **U.S. Department of Homeland Security (HSI)**

1:20PM-2:20PM

Proceed – With Caution: Strategies to Safely Manage High-Risk Accounts

- Assessing institutional tolerances in areas such as PEPs, jurisdictions and business sectors to identify metrics for measuring, accepting and rejecting accounts from high-risk categories
- Utilizing controls such as risk stratification within sectors, formalized EDD protocols and automated monitoring to ensure high-risk clients meet institutional and regulatory expectations
- Tailoring high-risk client reviews to assess issues of special relevance such as new regulations and changes in risk profile to affirm and/or adjust banking relationship as warranted

Moderator:

Matthew Haslinger, Executive Vice President, Chief BSA/AML/OFAC Officer, **M&T Bank**

Presenters:

Vasilios P. Chrisos, CAMS, Advisory Board Member, **ACAMS**

Jean Chung, CAMS, Managing Director, Regional Head, CFCC Advisory, CCIB, Asia, **Standard Chartered Bank Hong Kong**

Anthony Luis Rodriguez, CAMS, Compliance Executive, **INTER**

1:20PM-2:20PM

Sanctions War Games - Preparing Internal Table-Top Exercises

With escalating geopolitical uncertainties global institutions are increasingly seeing the benefit in advancing tabletop exercises that stimulate a major development or significant escalation in a sanctions regime scenario. Drawing upon lessons learnt our experts will set out what a tabletop sanctions exercise looks like, who to involve, when to conduct, and how it feeds into upgrading your risk assessment and sanctions compliance program in readiness for new or evolving obligations.

Moderator:

Stevenson Munro, CAMS, Managing Director/Global Head - Economic Sanctions Compliance, **Standard Chartered Bank**

Presenters:

Richard Dunmall, Head of Sanctions - EMEA, **Sumitomo Mitsui Banking Corporation**

Anu Mathur Boyd, CAMS, Head of Financial Crimes Compliance, Wholesale Banking Netherlands, **ING**

Francisco Rapp, Chief Sanctions Officer, **Citi**

1:20PM-2:20PM

Doing Good is Good for Business: Leveraging Financial Crime Risk Management Data and Technology to Drive Competitive Advantage - Presented by ComplyAdvantage

- Why shifting consumer demands are driving the convergence of ESG, fraud, AML, security and business strategy
- How data and technology can help track the proceeds of environmental crime, prevent human trafficking and address other ESG risks
- How to leverage financial crime data and technology as competitive advantage

Presenter:

Andrew Davies, Global Head of Regulatory Affairs, **ComplyAdvantage**

2:20PM-2:50PM

Networking and Refreshment Break in Exhibit Hall - PwC Hackathon Presentations & Judging

Financial Crime Matters Live Podcast

Sarah Paquet, Director and Chief Executive Officer, **Financial Transactions and Report Analysis Centre of Canada (FINTRAC)**

2:50PM-3:50PM

Networking for Women in AML

A compliance career requires grit, ambition and intelligence to get ahead. And women leaders in the AML field may face a unique set of challenges such as managing work/life balances, corporate cultures that might view assertive female leaders negatively, and difficulty in finding supportive mentors and peers. Join us at this informal networking session, where the only agenda is to meet like-minded colleagues, discuss common career hurdles for women in AML and, of course, strategies for overcoming them.

Facilitators:

Sharon Campbell, Executive, Financial Crime Operations, Technology and Enterprise Operations, **National Australia Bank**

Jean Chung, CAMS, Managing Director, Regional Head, CFCC Advisory, CCIB, Asia, **Standard Chartered Bank Hong Kong**

Jennifer Fowler, Director for Public Policy, **Meta Financial Technologies**

Mariah Gause, Chief Operating Officer, **ACAMS**

2:50PM-3:50PM

Transnational Crime Case Study: Busting the Big Drug Money Laundering Ring of “El Pequeno”

His nickname of “El Pequeno” apparently references Luis Velazquez-Cordero’s diminutive stature, but to the DEA and other authorities he loomed large – in cross-border money laundering. From his base in the Dominican Republic, Velazquez-Cordero directed conspirators in New Jersey, New York and Florida to pick up millions from drug trafficking organizations, convert it to cashier’s checks at US banks and returned the proceeds – often freshly laundered through shell firms – back to the traffickers. Arrested and extradited to the US, Velazquez-Cordero pled guilty and forfeited his Ferrari, among other possessions. Despite the success of this operation, fighting financial criminals like “El Pequeno” remain a tall order. At this session, learn how it’s done.

Presenters:

Dr. Meliton Cordero, Special Agent Advisor, **Drug Enforcement Administration (DEA)**

Keith Cregan, Task Force Officer, IRS-CI & DEA; Captain, **Morristown Bureau of Police**

2:50PM-3:50PM

A Mod Fraud Squad? Debating Merits of Merging Fraud, AML and Cybersecurity Teams

- Analyzing rationales for combining fraud, AML and cybersecurity teams such as reduced duplication, greater information sharing and potential increases in detection of financial crime
- Identifying issues in combining teams such as leadership structure, disparate workplace cultures and divergent investigative practices to accurately assess benefits of merging units
- Standardizing IT systems and communication channels of combined operation and conducting operation reviews to optimize synergies and identify opportunities to enhance effectiveness

Moderator:

Clyde Langley, Managing Director, Financial Crimes Risk Management, **Charles Schwab**

Presenters:

Siobhain Ivers, Director, Global Compliance, **Etsy**

Darrin McLaughlin, Head of Strategy, **PNC**

Jack Oskvarek, CAMS, Senior Vice President, BSA Executive Director, **Wintrust Financial Corporation**

Daniel Wager, Managing Director, Cyber, Risk & Regulatory Practice, **PwC**

The Quest for AML Solutions at Latin American Financial Institutions

Latin American financial services industries vary widely in size, scope and sophistication from country to country. But AFC professionals across the multiple jurisdictions have one thing in common: They face daunting challenges in fighting financial crime. Whether it's corruption or narco-trafficking, prohibitively costly technology or mixed results at strengthening AML laws, AFC in Latin America has never been more complex – requiring creative thinking, shared insights and strategic solutions. Our expert Latin American panelists offer all three at this deep dive session, devoted exclusively to this vast and vital region's issues – and answers.

Moderator:

Luis Alvarez, Chief Compliance Officer, **Mastercard Transaction Services (MTS)**

Presenters:

Claudia M. Helms, Program Manager - Latin America and the Caribbean, **Global Financial Integrity**

Guillermo Horta, Head of Financial Crimes Risk Management & Chief Anti-Money Laundering Officer, **Scotiabank**

Edgar Ochoa, LATAM Head, Global KYC Policy Oversight & Governance, **Citi**

2:50PM-3:50PM

Case Study: Money Laundering 90210: A Russian Intelligence Agent Buys Real Estate in Beverly Hills

- Examining indictment of sanction Kremlin asset Andrii Derkach to detail how real estate transactions can facilitate financial crimes including fraud, money laundering and conspiracy
- Reviewing Derkach's utilization of tools such as corporate nominees, shell firms and cross-border wire transfers to detail role of "enablers" in supporting sanction evasion strategies
- Analyzing FinCEN real estate geographic targeting orders and AML best practices to detect and disrupt money laundering schemes involving high-risk non-financed property acquisitions

Moderator:

Dr. William Scott Grob, CAMS-FCI, CGSS, Director - Research and Analysis, **ACAMS**

Presenters:

Lakshmi Kumar, Policy Director, **Global Financial Integrity**

Spencer Woodman, Reporter, **International Consortium of Investigative Journalists (ICIJ)**

Alex Zerden, Former U.S. Treasury Department Official, CNAS Adjunct Senior Fellow and Founder, **Capitol Peak Strategies LLC**

2:50PM-3:50PM

Severing Links: Mitigating Money Laundering Risks of Supply Chain Disruption

- Surveying how causes of supply chain disruptions such as export controls, global tensions and the Covid pandemic contribute to criminal activities such as illicit trade and sanctions evasion
- Training staff and clients on supply chain due diligence best practices to build awareness of disruption's heightened risks such as vendor turnover and logistical shifts in trade networks
- Utilizing advanced technologies such as AI and data analytics to optimize quality of intelligence related to supply chain disruption and develop robust oversight for changing risk environments

Moderator:

Scott Nathan, Global Head of AML Risk Detection and Customer Insights, **Citi**

Presenters:

Alexandra Belmonte, Chief Compliance Office, **Maersk**

Irma Juarez, Americas Risk Management Head, Enterprise Financial Crimes Compliance, **U.S. Bank**

Lesley Park, Senior Delivery Manager for Commercial Business Development, **Thomson Reuters Special Services (TRSS)**

2:50PM-3:50PM

Wars, Terrorism and Sanctions – Money in Money out

Panelists will offer an overview on the latest global threat assessment of designated terrorist groups, including the current international landscape and risk profile of how groups such as Islamic State, Al-Qaeda, and Al-Shabaab are raising and moving money.

Specific analysis will be offered of monies moving in and out of regions where terrorism and war are key factors, including an examination of the available mechanisms and tools for distinguishing between legitimate and illicit payments. Panelists will further examine the current context for establishing an effective control environment, including the practical application of recent changes within terrorism and sanctions humanitarian licensing exceptions.

Moderator:

Sue Eckert, Expert, Al-Qaida/ISIL/Taliban Monitoring Team, **United Nations**

Presenters:

Chloe Cina, Head of Global Sanctions Advisory, **Deutsche Bank**

David Lee, CAMS, Director for Sanctions Compliance and OFAC Officer, **Circle Internet Financial**

Anu Mathur Boyd, CAMS, Head of Financial Crimes Compliance, Wholesale Banking Netherlands, **ING**

Monica Peters, CAMS, Global Head of Sanctions & Interdictions at Western Union, **Western Union**

3:50PM-4:20PM

Networking and Refreshment Break in Exhibit Hall - PwC Hackathon Presentations & Judging

4:20PM-4:50PM

Networking for Sanctions Professionals: Ask the Experts

In this small-group session, pose your questions and get detailed answers from our trio of top-flight sanctions experts. The more intimate setting will allow for deeper interactions with both facilitators and fellow attendees. In addition to gaining depth of knowledge about the current sanctions environment, you'll also broaden your professional contacts.

Moderator:

Dr. Justine Walker, Head of Global Sanctions and Risk, **ACAMS**

Presenters:

Stevenson Munro, Managing Director/Global Head - Economic Sanctions Compliance, **Standard Chartered Bank**

Angelique Roberts, Managing Director, Head of Sanctions Compliance, Latin America and Mexico, **Citi**

John E. Smith, Co-head, **Morrison & Foerster's National Security Practice**

4:20PM-4:50PM

Networking for Newly Minted AFC Compliance Professionals (1-3 years' experience)

New to AML? Time to get acquainted with those who share your status. This Connection Corner extends a welcoming hand to recent arrivals to the compliance community, from fresh graduates embarking on an AML career path to seasoned veterans making a mid-career move into fighting financial crime. Meet professional peers who are learning what you're learning, wondering what you're wondering - and looking to connect with others who are finding their footing in this dynamic and fast-paced field.

Facilitators:

Carolina Ceballos, Chief Compliance Officer, **Paxos**

Clyde Langley, Managing Director, Financial Crimes Risk Management, **Charles Schwab**

4:20PM-5:20PM

Inspect, Connect, Protect: Verifying, Analyzing and Securing Your AML Data

- Creating controls to detect and redress data quality issues such as ambiguous, inconsistent or incomplete information to heighten accuracy of risk assessments based on vetted intelligence
- Understanding fundamental tools of data analysis and interpretation such as algorithm-driven analytics, data visualization and auditing results to detect AML risks and respond appropriately
- Formalizing data security policies such as categorization of data classes by risk, creating data access rules for staff and use of firewalls to mitigate risks of data leakage via malware or hacks

Moderator:Jas Randhawa, Founder and Managing Partner, **StrategyBRIX****Presenters:**Meera Das, Vice President, AML Modeling, Data and Analytics, **Capital One**Samira Duijnmayr, Compliance Manager - Regulatory & Financial Crime, **Booking.com**Lisa Lechner, Chief Compliance Officer, **SpotOn**

4:20PM-5:20PM

Post-Covid: A House Report Alleges Lax Oversight at Some FinTechs Facilitated Fraud

The allegations were shocking: The House Select Subcommittee on the Coronavirus Crisis alleged rogue FinTech firms facilitated massive defrauding of the Paycheck Protection Program (PPP) during the Covid pandemic. The exhaustive report found evidence of weak controls, willful blindness, self-enrichment and even business ties to criminal gangs. The losses: Estimated in the tens of billions of dollars. This panel will examine the report's findings and debate the following questions: Are they true? Are they fair? What's next?

Moderator:William J. Voorhees, CAMS, Senior Vice President, Senior Director Financial Intelligence Unit, **Truist Financial Corp.****Presenters:**Tonita W. Gillich, Assistant Director, Forensic Audits & Investigative Service, **U.S. Government Accountability Office (U.S. GAO)**Bryan Haley, Special Agent, **Homeland Security Investigations (HSI)**Ramesh Menon, Group Director of Product Management, Digital Identity and Fraud, **London Stock Exchange Group (LSEG)**

ESG and AFC: The Emergence of Convergence – And How to Manage It

- Outlining linkages between ESG and AFC such as collection and analysis of financial data and detection and disruption of criminal activities to understand benefits on converged oversight
- Detailing how AFC controls can be utilized to strengthen achievement of ESG objectives and identify financial flows from environmental crime such as wildcat gold mining and illegal logging
- Integrating ESG objectives into AFC programs and taking affirmative actions such as updating risk appetite on ESG policies to establish prohibited entities, individuals and activities

Moderator:

Dr. William Scott Grob, CAMS-FCI, CGSS, Director - Research and Analysis,
ACAMS

Presenters:

Theresa M. Forbes, Associate General Counsel, **Meta Financial Technologies**

Andrew Jensen, Managing Director and Global Head, Sanctions and Screening, **Scotiabank**

Nicholas Schumann, US Head of Financial Crime Program, Framework & Engagement, **HSBC**

Patricia Sullivan, Head of Financial Crime Business Control and Oversight for the IB/CB, **Deutsche Bank**

4:20PM-5:20PM

Broker-Dealer Perspectives: Tackling Cybercrime, Account Takeovers and AML Controls

- Reviewing SEC plan to require investment advisers and funds to adopt written cybersecurity policies reasonably designed to mitigate cyber-related risks and report cybersecurity incidents
- Countering use of compromised client data to conduct account takeovers with defenses such as rigorous entity verification, multi-factor login authentication and formal ATO response plans
- Cultivating AML controls tailored to specific broker-dealer risks such as product lines and client base, conducting ongoing staff training and creating systems to detect red flags and file SARs

Moderator:

Maura Liconte, **AML Expert**

Presenters:

Heather Jewell, Senior Vice President, Global Financial Crimes Compliance, **Brown Brothers Harriman**

Alison Jimenez, President, **Dynamic Securities Analytics, Inc.**

Jamie Udinson, CAMS, Senior Director, Crypto Asset Investigations, Complex Investigations and Intelligence, **FINRA**

4:20PM-5:20PM

Battling the Enemy Within: Detecting and Disrupting the Risks of Rogue Employees

- Mitigating risks of new-hire onboarding with formal Know Your Employee controls to identify issues such as potential PEP connections, conflicts of interest and unexplained resume gaps
- Developing controls such as internal audits, employee rotation and whistleblower hotlines to detect and disrupt rogue employee threats including data breaches, fraud and FCPA violations
- Assembling evidence such as transaction data to support disciplinary actions, filing SAR and contacting authorities as warranted and rectifying systemic lapses that enabled bad actors

Moderator:

Bernard Williams Jr., CAMS, Director, Global Head of AML Compliance Governance and Compliance Training, **eBay**

Presenters:

Marina Adams, Privacy Officer, Compliance and Ethics Director, **Federal Reserve Bank of New York**

Kate Eyerman, BSA/AML and Sanctions Officer, **Paxos**

Scott Nathan, Global Head of AML Risk Detection and Customer Insights, **Citi**

4:20PM-5:20PM

Trade, Technology and Energy – the new frontier in global sanctions compliance

Exploring the new frontier in global sanctions compliance, panelists will examine the global complexities of managing trade, energy, technology, and commodities exposure including how the bar has been raised for sanction compliance standards. Our experts will share latest analysis on implementation of the G7 oil price cap, expanding export control and technology restrictions, and risks associated with the emergence of international smuggling and illicit procurement networks. We will explore the types of understanding and due diligence that is now required to facilitate cross-border trade, supply chain risk management, and how best to implement mechanisms for successfully navigating sanctions risks within global markets.

Moderator:

George Voloshin, CAMS, CGSS, Global Expert, Anti-Financial Crime, **ACAMS**

Presenters:

Alexandra Belmonte, Chief Compliance Office, **Maersk**

Melissa Duffy, Partner, Trade and National Security, **Fenwick**

Richard Dunmall, Head of Sanctions – EMEA, **Sumitomo Mitsui Banking Corporation**

Marsha McIntyre Wright, Assistant General Counsel & Director of Compliance, **Oxbow Carbon LLC**

5:30PM-6:30PM

Networking Reception at Portico at Diplomat Landing

Day 3

Thursday 5/11

7:30AM-12:00PM	Registration Desk Open - Bags, Lanyards and Badges courtesy of AI Oasis
7:30AM-12:00PM	Exhibit Hall Open
7:30AM-8:30AM	Networking Continental Breakfast in Exhibit Hall
8:30AM-8:45AM	Opening Remarks and Hackathon Winner Announcement Rick McDonell, Former Executive Secretary, Financial Action Task Force (FATF), Executive Director, ACAMS
8:45AM-9:20AM	Special Presentation with Q&A - Survivor Inclusion: Promoting Empowerment and Bridging the Gap to Financial Access Moderator: Rick McDonell, Former Executive Secretary, Financial Action Task Force (FATF), Executive Director, ACAMS Presenters: Sara Gilmer, Deputy Director, Human Trafficking Division, Office for Victims of Crime, U.S. Department of Justice Maha Khan, Financial Sector Lead, Finance Against Slavery and Trafficking (FAST) initiative, United Nations University Centre for Policy Research
9:20AM-10:20AM	Transatlantic Regulatory and Sanctions Enforcement Fireside Chat: Commonalities, Priorities and Cooperation With an increasing desire to promote enhanced transatlantic cooperation our US - UK fireside chat will examine sanctions regulatory perspectives, including areas of convergence and commonality. It will also explore where regulatory priorities are currently, enforcement trends, where firms most often fail to meet their sanctions obligations, and where regulators see priorities going into the future. Moderator: Dr. Justine Walker, Head of Global Sanctions and Risk, ACAMS Presenters: Andrea Gacki, Director, Office of Foreign Assets Control, U.S. Department of the Treasury Giles Thomson, Director, Office for Financial Sanctions Implementation (OFSI) and Economic Crime

10:20AM-10:50AM | Networking and Refreshment Break in Exhibit Hall

10:50AM-12:00PM | Breaking News Live: ACAMS MLDC Presents Latest AML News and Hot Topics

Can't recall a calm day in the AML world? No one else can, either. Which poses a challenge for the ACAMS Hollywood program, which is published months in advance, meaning lots of things happen in the interim. The solution is this session, dedicated to news so fresh it happened after the agenda went to press. Whether it's a major financial crime case that broke days before the conference, a newly unveiled regulatory proposal, or a global development that may impact your risk management models, the content of this expert-led session is literally ripped from the headlines – and delivered directly to ACAMS Hollywood attendees.

Moderator:

Colby Adams, Managing Editor, **ACAMS moneylaundering.com**

Presenters:

Howard R. Fields, CAMS, Chief Compliance Officer, **Mastercard**

Sarah Runge, Director, Global Payments, Regulatory, **Meta Payments**

Dan Stipano, Partner, **Davis Polk & Wardwell LLP**

Patricia Sullivan, Head of Financial Crime Business Control and Oversight for the IB/CB, **Deutsche Bank**

12:00PM-12:05PM | Closing Remarks

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**