

PROGRAM  
AND SPEAKER  
BIOGRAPHIES

Disruptive  
Technologies  
Legal Summit  
2020

Berkeley Center for Law  
& Technology

WINSTON  
& STRAWN  
LLP

# WELCOME

DISRUPTIVE TECHNOLOGIES LEGAL SUMMIT 2020

*All times in the program refer to **Eastern Daylight Time.***

# Schedule at a Glance Click on the text of any highlighted items to view program details

WEDNESDAY, SEPTEMBER 30, 2020

12:00 – 1:00 p.m.	<b>Setting the Stage: The Business Technology Climate in the Age of COVID-19</b>		
1:00 – 1:10 p.m.	<i>Break for transition</i>		
	<b>INTERNATIONAL TRACK</b>	<b>AI TRACK</b>	<b>LEGAL TRACK</b>
1:10 – 2:10 p.m.	<b>International Supply Chain and the U.S. Government Focus on China</b>	<b>Fairness and Privacy in AI</b>	<b>Global Tech Disruption Among Dominance</b>
2:10 – 2:20 p.m.	<i>Break for transition</i>		
2:20 – 3:20 p.m.	<b>International Payments – Sanctions and AML Compliance in the Fintech Era</b>	<b>Elimination of Bias in AI</b>	<b>Focus on Data Security by Design – Protecting Internet of Things (IoT) Devices &amp; Emerging Technology</b>
3:20 – 3:30 p.m.	<i>Break for transition</i>		
3:30 – 4:45 p.m.	<b>Regulators Roundtable: Observations from Key Regulators on Technology Trends, Cryptocurrency Developments and Enforcement Issues</b>		
4:45 – 5:00 p.m.	<i>Break for transition</i>		
5:00 – 5:30 p.m.	<b>Fireside Chat with SEC Commissioner Hester Peirce</b>		

KEY ■ General Session Presentations ■ International Track ■ AI Track ■ Legal Track

# General Sessions

## SETTING THE STAGE: THE BUSINESS TECHNOLOGY CLIMATE IN THE AGE OF COVID-19 12:00 PM – 1:00 PM

Panelists will discuss economic and technological challenges posed by COVID-19, as well as the role played by disruptive technologies as businesses attempt to cope.

### Moderators



**CHRISTINE EDWARDS**  
Winston & Strawn



**GEORGE MASTORIS**  
Winston & Strawn

### Speakers



**TIM MAYOPOLOUS**  
Blend



**GARI SINGH**  
IBM



**WANJI WALCOTT**  
Discover Financial Service



**DAVID WISMER**  
BMO

## REGULATORS ROUNDTABLE: OBSERVATIONS FROM KEY REGULATORS ON TECHNOLOGY TRENDS, CRYPTOCURRENCY DEVELOPMENTS AND ENFORCEMENT ISSUES 3:30 PM – 4:45 PM

Representatives from the SEC, CFTC, OCC, and CFPB discuss the latest disruptive technology trends and regulatory actions impacting financial services and other markets.

### Moderators



**BASIL GODELLAS**  
Winston & Strawn

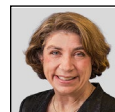


**MICHAEL LOESCH**  
Winston & Strawn

### Speakers



**EDWARD BLATNIK**  
Consumer Financial Protection Bureau



**BETH KNICKERBOCKER**  
Office of the Comptroller of the Currency



**KRISTINA LITTMAN**  
U.S. Securities and Exchange Commission



**MELISSA NETRAM**  
U.S. Commodity Futures Trading Commission

## **FIRESIDE CHAT WITH SEC COMMISSIONER HESTER PEIRCE**

**5:00 PM – 5:30 PM**

In the Fireside Chat with SEC Commissioner Hester Peirce, we will discuss the intersection between the growth and evolution of disruptive technologies and the jurisdiction and mission of the Securities and Exchange Commission. We will explore the tension between market innovation and effective market oversight in the digital space and hear from Commissioner Peirce regarding her views on recent key SEC initiatives and regulatory actions impacting the technology industry.

### **Moderator**



**MICHAEL LOESCH**

Winston & Strawn

### **Speaker**



**HESTER PEIRCE**

U.S. Securities and Exchange Commission

# International Track

## INTERNATIONAL SUPPLY CHAIN AND THE U.S. GOVERNMENT FOCUS ON CHINA

1:10 PM – 2:10 PM

This panel will cover evolving supply chain issues facing companies doing business in China and the United States, with an update and discussion on the August 6th Tencent Executive Order, and the potential rules to be published by the Department of Commerce in September.

### Moderators



**MICHELLE GON**

YuandaWinston



**CARI STINEBOWER**

Winston & Strawn

### Speakers



**NEENA SHENAI**

Medtronic



**INNA TSIMERMAN**

Arthur J Gallagher

## INTERNATIONAL PAYMENTS – SANCTIONS AND AML COMPLIANCE IN THE FINTECH ERA

2:20 PM – 3:20 PM

A discussion of sanctions and anti-money laundering (AML) compliance considerations for international payments and banking in the Fintech Era.

### Moderator



**DAINIA JABAJI**

Winston & Strawn

### Speakers



**MINAKSHI YERRA**

Ripple



**GREGORY SCHWARZ, ESQ.,  
CFE, CAMS**

HSBC Bank USA NA



**SAI CHARANYAN V IYER**

Bank ABC

# AI Track

## FAIRNESS AND PRIVACY IN AI

1:10 PM – 2:10 PM

AI technology promises transformative possibilities to improve our lives, but it is developing at a faster pace than laws can regulate it. AI requires large amounts of data and can include automatic processes with unintended privacy consequences, which remain unsolved. This panel will explore privacy and fairness issues in AI and discuss strategic and ethical steps that innovative companies can take to promote best practices to be best positioned for future regulation.

### Moderator



**SHERYL FALK**

Winston & Strawn

### Speakers



**JIM DEMPSEY**

Berkeley Center for Law & Technology



**ANDREA MATWYSHYN**

Penn State Law



**STEVEN TIELL**

Accenture



**ALICE XIANG**

Partnership on AI



**SCOTT ZOLDI**

FICO

## ELIMINATION OF BIAS IN AI

2:20 PM – 3:20 PM

A timely conversation about eliminating bias in AI used in a wide array of applications, such as facial recognition technology, criminal and judicial processes, medical diagnoses, and lending services. In short, how can AI developers train AI on data without memorializing existing biases?

### Moderator



**MATTHEW DAL SANTO**

Winston & Strawn

### Speakers



**JASBIR KAUR KHALSA**

Microsoft



**SHAUN MOORE**

TrueFace



**ANDY SONG**

Manifold



**KATHI VIDAL**

Winston & Strawn

# Legal Track

## GLOBAL TECH DISRUPTION AMONG DOMINANCE

1:10 PM – 2:10 PM

Jurisdictions around the world have been grappling with how to protect tech disruption in the face of globally dominant firms and increasingly digital markets. One year into the U.S. tech antitrust investigations, we will discuss whether we are headed for convergence, the implications of a potential new administration, and competition policy in an increasingly high-tech world.

### Moderator



**SUSANNAH TORPEY**

Winston & Strawn

### Speakers



**HARRY CLARKE**

Spotify



**PETER CROWTHER**

Winston & Strawn



**DANIEL FRANCIS**

Federal Trade Commission



**MARK FRIEDMAN**

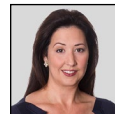
Intel Corporation

## FOCUS ON DATA SECURITY BY DESIGN – PROTECTING INTERNET OF THINGS (IOT) DEVICES & EMERGING TECHNOLOGY

2:20 PM – 3:20 PM

This session will cover the cybersecurity risks to IoT devices and emerging technology, the developing data security legal landscape, regulatory developments and guidance, the evolution of reasonable security, evolving industry standards, and use of data security by design and best practices strategies to reduce risk.

### Moderator



**SHERYL FALK**

Winston & Strawn

### Speakers



**JIM ELLIOTT**

Federal Trade Commission



**SERGE JORGENSEN**

Sylint Group



**BRAD REE**

ioXt



**DALE ROBERTS**

Legrand



# Biographies



## EDWARD BLATNIK

ACTING DIRECTOR, OFFICE OF INNOVATION

**Consumer Financial Protection Bureau**

Edward Blatnik is a founding member of the Office of Innovation at the Consumer Financial Protection Bureau and currently serves as the Acting Director of the Office. Previously, he was the Deputy Counsel of the Office. From 2012 to 2018 he served as Senior Counsel in the Office of Law & Policy within the Legal Division of the CFPB. After graduating from Columbia Law School in 1999, Ed clerked for the Honorable Leonard B. Sand on the U.S. District Court for the Southern District of New York, and then for the Honorable Sonia Sotomayor on the U.S. Court of Appeals for the Second Circuit. He then went to work for Wachtell, Lipton, Rosen & Katz, and, in 2006, moved to Morrison & Foerster. Prior to law school, he earned a Ph.D. in philosophy from the University of Virginia and taught philosophy at several colleges and universities.



## HARRY CLARKE

ASSOCIATE GENERAL COUNSEL

**Spotify**

Harry Clarke is Associate General Counsel for Consumer & Creator Platforms at Spotify. His team advises on product development, tech transactions, and competition and regulatory matters. Before Spotify, Harry worked at two technology companies, litigated at a New York law firm, and clerked for two federal judges. Harry has a J.D. from The George Washington University Law School and an A.B. from Duke University.



## PETER CROWTHER

PARTNER

**Winston & Strawn**

Peter Crowther serves as managing partner of Winston & Strawn LLP's London and Brussels offices and is a member of the firm's Executive Committee. Widely recognized as a leading competition and trade lawyer, a significant part of his practice involves advising companies in a wide variety of regulatory and disputes matters. Peter has significant experience in securing major merger clearances as well as advising on competition and other disputes, regulatory investigations, trade/sanctions laws, and EU law. In addition to a broad sector focus, Peter has a long track record of advising on a wide variety of matters in the sports, technology, and financial services (including insurance) industries.



## MATTHEW DAL SANTO

OF COUNSEL  
**Winston & Strawn**

Matthew DalSanto is a California- and Illinois-licensed attorney and a Ph.D. applied economist. He focuses his practice on the areas of antitrust and unfair competition law, intellectual property law, and legal matters requiring the use and sophisticated data analytics. With his skills and experience as well as the team of data analysts he assembled, Matthew provides not only high-quality legal work product in a multitude of areas, but also comprehensive and thoughtful analyses of the potential economic consequences of legal decisions.



## JIM DEMPSEY

EXECUTIVE DIRECTOR  
**Berkeley Center for Law & Technology**

Jim Dempsey is Executive Director of the Berkeley Center for Law & Technology at the UC Berkeley School of Law. Jim has been a leading expert on privacy and Internet policy for three decades. From 1997 to 2014, he was at the Center for Democracy & Technology, where he held a number of leadership positions, including Executive Director (2003 to 2005) and head of CDT West (2005 to 2014). From 2012 to 2017, Jim also served, after Senate confirmation, as a part-time member of the US Privacy and Civil Liberties Oversight Board, an independent agency within the federal government charged with advising senior policymakers and overseeing the nation's counterterrorism programs. Other experience includes Deputy Director of the non-profit Center for National Security Studies, assistant counsel to the House Judiciary Committee's Subcommittee on Civil and Constitutional Rights, and associate at Arnold & Porter.



## CHRISTINE EDWARDS

PARTNER  
**Winston & Strawn**

Christine Edwards, chair of the firm's bank regulatory practice, provides thoughtful, proactive counsel to boards of directors, special committees, chief legal officers, and other key leaders in financial services companies. She brings her long experience as a chief legal officer for some of the nation's largest financial services firms to bear on critical issues including regulation, risk management and privacy rules. Christine provides proactive counsel to clients on corporate governance, public company boards of director issues, banking, insurance and securities industry regulation, risk management, consumer banking and securities transactions, and privacy and identity theft matters. She also has extensive experience supervising complex internal investigations and regulatory defense matters.



## JIM ELLIOTT

ASSISTANT REGIONAL DIRECTOR, SOUTHWEST REGION

**Federal Trade Commission**

Jim Elliott has been an attorney in the FTC's Southwest Region since joining the FTC in 1988. He has investigated and litigated both consumer protection and competition/antitrust cases. In December 2013, Jim was appointed Assistant Regional Director of the FTC's Southwest Region, a region that includes Texas and the surrounding states of New Mexico, Oklahoma, Arkansas, and Louisiana. Jim has spoken to consumer and business groups on a number of issues, including data security and privacy, mobile advertising, identity theft, loan modification, and fraud targeting various segments of the public. Before joining the FTC, he was in private practice for eight years, specializing in plaintiffs antitrust litigation. Jim received a J.D. from Drake University Law School, Des Moines, Iowa, and a B.A. from Marist College, Poughkeepsie, New York.



## SHERYL FALK

PARTNER

**Winston & Strawn**

Sheryl Falk is recognized as a leading lawyer in privacy, data security and trade secrets. As co-leader of the firm's Global Privacy and Data Security Task Force, she brings significant expertise and strategic thinking to help her clients comply with their quickly changing privacy and data security obligations. Sheryl works closely with her clients, protecting their critical data by investigating and responding to data security incidents and handling data privacy and trade secret litigation. One of the first attorneys in the US to be certified in computer forensics, Sheryl is a former Federal Prosecutor and Certified Information Privacy Professional and has been recognized in The Legal 500.



## **DANIEL FRANCIS**

DEPUTY DIRECTOR

**Federal Trade Commission**

Daniel Francis is Deputy Director of the FTC's Bureau of Competition, where he helps to direct and coordinate the Bureau's full range of antitrust enforcement and policy activities. He directly oversees the Mergers III and Mergers IV Divisions, the Anticompetitive Practices Division, and antitrust matters in the Northwest and Western Regional Offices. Before assuming his current role, Daniel served at the FTC as Senior Counsel to the Director (2018-19) and then as Associate Director for Digital Markets (2019), reflecting his particular focus on competition in digital and high-technology markets.

Before joining the FTC, Daniel served as a Climenko Fellow and Lecturer in Law at Harvard Law School, and spent ten years in the private practice of antitrust law with two multinational law firms. Daniel holds a research doctorate in law from NYU School of Law, where his work focused on the constitutional law of interstate trade in the United States, and additional degrees in law from Trinity College, Cambridge, and Harvard Law School.



## **MARK FRIEDMAN**

V.P. & ASSOCIATE GENERAL COUNSEL

**Intel Corporation**

Mark Friedman is Vice President and Associate General Counsel at Intel Corporation and a member of Intel's Antitrust & Commercial Litigation Group. He is responsible for managing litigation and regulatory matters, antitrust support of mergers & acquisitions and antitrust counseling. Prior to his current role, Mark led legal teams responsible for supporting many of Intel's business groups, including 10 years as director of the worldwide legal team supporting Intel's Sales & Marketing Group. Before joining Intel, Mark was an associate with Weil, Gotshal & Manges in NYC where he specialized in antitrust and international trade law. Mark graduated from Middlebury College and University of Texas School of Law, is a member of the N.Y. and Oregon bar associations and currently lives in Portland, Oregon.



## BASIL GODELLAS

PARTNER

**Winston & Strawn**

Basil Godellas concentrates his practice on representing companies in the asset management industry with respect to regulatory and transactional matters, including the formation of private investment funds, new product development, securities offerings, and acquisitions and divestitures. Basil also advises a number of clients in FinTech and other industries with respect to digital assets, crypto currencies, and blockchain technology. He is the Co-Chair of Winston & Strawn's Disruptive Technology Taskforce, Chair of the firm's Financial Services Regulatory Practice and is recognized in the 2019 edition of *The Legal 500 U.S.* for his regulatory work within the asset management industry.



## MICHELLE GON

PARTNER

**YuandaWinston**

Michelle Gon is a partner with Winston & Strawn and co-founder of YuandaWinston. Michelle's practice focuses on representing clients in complicated and challenging compliance and regulatory matters, including anticorruption, unfair competition, anti-monopoly, anti-fraud and trade sanction representations. Highly regarded for her ability to provide clients with practical advice on complex legal issues, Michelle often works with clients as their external senior counsel in matters of strategic importance in China and across borders.



## SAI CHARANYAN V IYER

GROUP HEAD OF TRANSACTION MONITORING UNIT

**Bank ABC**

Sai Charanyan V Iyer is a banking professional with over 15 years of experience in leading FCC Operations and Monitor engagement for leading international banks across multiple geographies. He was instrumental in launching the ACAMS South India Chapter, for which he served as the co-chair for 2 years. Currently, he is building the FCC Center of Excellence for Bank ABC.



## DAINIA JABAJI

ASSOCIATE

**Winston & Strawn**

Dainia Jabaji provides financial crimes compliance counseling and investigation services to financial institutions, international companies, Fintech companies, and more. Dainia's previous experience at one of the world's largest financial institutions has provided her with an in-depth understanding of the complexity of international payment processing, local and global financial crimes policies and procedures, and complex and ever-changing government regulations and requirements.



## SERGE D. JORGENSEN

PRESIDENT AND FOUNDING PARTNER

**Sylint Group**

Serge Jorgensen is the President and founding partner in the Sylint Group. He provides technical development and guidance in the areas of cyber-security, counter-cyber Warfare, eDiscovery, System Design and Incident Response. Mr. Jorgensen is a patented inventor in engineering and math-related fields. He directed development of DNS (Domain Name Server) tracking applications; provided response and remediation guidance to multi-billion dollar international espionage and cyber-security attacks; and directed, tasked, and managed multi-million dollar litigation, forensic, and electronic discovery efforts. Prior to the Sylint Group, Mr. Jorgensen ran the Research and Development Department for Locast Corporation, developing a HIPAA-compliant patient location and status tracking device.



## JASBIR KAUR KHALSA

ATTORNEY

**Microsoft**

Jasbir is an attorney with Microsoft that supports product engineering. Joining Microsoft in 2014, she was the legal business partner for, a Silicon Valley acquisition. Over the years, Jasbir has counseled engineers as they create models by processing sensitive personal data to customize the user's experience. Currently, Jasbir is the business partner Microsoft Families and Microsoft Money responsible for mitigation strategies that should apply to use of children's data and financial data. Prior to Microsoft, Jasbir worked for Hitachi Data Systems for 8 years Supporting Sales, Marketing and the Hitachi Board of Directors. Prior to Hitachi, Jasbir was at a UK firm, Shoosmiths, litigating personal injury claims. Jasbir is a graduate of University of Edinburgh with a Master of Laws in Innovation, Technology and Law. On the personal side, Jasbir is married, has two boys, she loves hiking, practicing yoga, horse riding, waterfalls, sunsets and flying private planes.



**BETH KNICKERBOCKER**  
CHIEF INNOVATION OFFICER  
**Office of the Comptroller of the Currency**

Beth Knickerbocker is the Chief Innovation Officer at the OCC. In this role, Ms. Knickerbocker is responsible for managing the day-to-day operations of the Office of Innovation and implementing of the OCC's innovation framework. She served in an acting capacity in this role from October 2016 before officially taking on these duties in May 2017.

Ms. Knickerbocker is a graduate of the University of Iowa College of Law, high distinction, and earned a bachelor of arts in politics and international relations from Cornell College, magna cum laude, Phi Beta Kappa.



**KRISTINA LITTMAN**  
CHIEF, CYBER UNIT, DIVISION OF ENFORCEMENT  
**U.S. Securities and Exchange Commission**

Kristina Littman is the Chief of the Division of Enforcement's Cyber Unit, a national, specialized unit that focuses on protecting investors and markets from cyber-related misconduct. Ms. Littman joined the SEC's Division of Enforcement in 2010 in the Philadelphia office. She has held senior attorney positions in SEC Chairman Jay Clayton's office, the Trial Unit, and the Market Abuse Unit. Prior to joining the SEC, Ms. Littman practiced law at Drinker Biddle & Reath LLP, specializing in white collar and securities litigation. Ms. Littman earned her J.D. and M.B.A. from Rutgers University School of Law – Camden and an undergraduate degree from Florida State University.



**MICHAEL LOESCH**  
PARTNER  
**Winston & Strawn**

Michael Loesch focuses his practice on advising corporations and individuals on matters related to commodities and securities litigation and enforcement, corporate internal investigations, and regulatory compliance. He currently serves as co-head of Winston & Strawn's Disruptive Technologies Team and counsels clients with respect to SEC and CFTC enforcement investigations and compliance matters, including those involving digital assets, energy trading, and derivatives market activity. His extensive enforcement and compliance experience stems from his private practice and more than 14 years of federal regulatory and legislative service, previously having served in senior leadership positions at the CFTC and the SEC.



## GEORGE MASTORIS

PARTNER

**Winston & Strawn**

George Mastoris is a partner at Winston & Strawn. An accomplished litigator, he represents a broad array of companies involved in high-stakes and high-profile civil and criminal litigations, arbitrations, investigations, and appeals. He has experience across a wide array of substantive areas, including antitrust, financial technology, securities, insurance, and bankruptcy. George's clients include technology companies, multinational manufacturing concerns, blockchain and biotechnology startups, banks, retailers, insurers, and private equity firms.



## ANDREA MATWYSHYN

ASSOCIATE DEAN FOR INNOVATION AND TECHNOLOGY, PROFESSOR OF LAW AND ENGINEERING POLICY, PENN STATE LAW

**Penn State Law**

Dr. Andrea M. Matwyshyn is an academic and author whose work focuses on the intersection of technology design, innovation policy, and law, particularly information security/ "cybersecurity," artificial intelligence/ machine learning, health tech and infodemiology, consumer privacy, intellectual property, technology competition, and workforce pipeline policy. Professor Matwyshyn is a professor in the law school and engineering school at Penn State, the Associate Dean of Innovation at Penn State Law (University Park), and the founding director of the Penn State PILOT Lab (Policy Innovation Lab of Tomorrow), an interdisciplinary technology policy lab.



## TIM MAYOPOULOS

PRESIDENT

**Blend**

Tim Mayopoulos is the President of Blend, a Silicon Valley technology company propelling the \$40 trillion consumer banking industry into the digital age through partnerships with banks, lenders, and other technology providers. Before joining Blend in 2019, Tim served as President and CEO of Fannie Mae for more than six years. Under his leadership, the company returned to sustained profitability, delivered more than \$167 billion in dividends to taxpayers, and introduced new technologies to the housing finance system to make mortgage lending faster, safer and more transparent. Tim has had a long career in financial services. He served as the General Counsel of Bank of America for five years, and held senior roles at Deutsche Bank, Credit Suisse First Boston, and Donaldson, Lufkin & Jenrette. Tim serves on the boards of directors of LendingClub, the largest online marketplace lender, and SAIC, a technology integrator for the civilian, defense and national security agencies of the federal government. Tim is a graduate of Cornell University and the New York University School of Law.





## SHAUN MOORE

CEO

TrueFace

Shaun is driven by a love for exploration and innovation which has led him to co-found Trueface. Trueface is focused on teaching cameras to see as human beings and built on three core principles: Humanity First, Data Security, and Total Transparency.

His work has been shaped by living on four continents and regularly participating in international communities for the advancement of global entrepreneurship. He holds two patents in the computer vision space, was named a semi-finalist for Ernst and Young's Entrepreneur of the Year Award in LA 2019 and 2020, was named Analytics Insight 40 under 40 Innovators in 2019 and is a current finalist for the 2020 FedScoop 50. Shaun has guest lectured at Northwestern Kellogg, Chicago Booth, and the University of California, Berkeley.



## MELISSA NETRAM

CHIEF INNOVATION OFFICER, DIRECTOR OF LABCFTC

**U.S. Commodity Futures Trading Commission**

Melissa Netram is the Director of LabCFTC and Chief Innovation Officer at the CFTC. In her role, she is responsible for coordinating closely with international and U.S. regulators, Capitol Hill, and other external stakeholders to facilitate market-enhancing innovation, inform public policy, and ensure the CFTC has the understanding to keep pace with the ever-changing financial services industry. Ms. Netram brings to her role more than 15 years of experience developing and executing policy strategy regarding technology and financial services issues. Prior to joining the CFTC, she was the Director of Global Public Policy and Regulatory Affairs for Silicon Valley-based Intuit, where she led the development of the company's government strategy and worked on the convergence of technology and global policy. As part of her role, Ms. Netram led efforts to position Intuit to successfully capitalize on fintech innovations, including serving as a founding member of the Financial Innovation Now Coalition, one of the first DC-area fintech groups.



**HESTER PEIRCE**  
COMMISSIONER  
U.S. Securities and Exchange Commission

Hester M. Peirce was appointed by President Donald J. Trump to the U.S. SEC and was sworn in on January 11, 2018. Prior to joining the SEC, Commissioner Peirce conducted research on the regulation of financial markets at the Mercatus Center at George Mason University. She was a Senior Counsel on the U.S. Senate Committee on Banking, Housing, and Urban Affairs, where she advised Ranking Member Richard Shelby and other members of the Committee on securities issues. Commissioner Peirce served as counsel to SEC Commissioner Paul S. Atkins. She also worked as a Staff Attorney in the SEC's Division of Investment Management. Commissioner Peirce was an associate at Wilmer, Cutler & Pickering (now WilmerHale) and clerked for Judge Roger Andewelt on the Court of Federal Claims.

Commissioner Peirce earned her bachelor's degree in Economics from Case Western Reserve University and her JD from Yale Law School.



**BRAD REE**  
CTO  
ioXt

Brad Ree is Chief Technology Officer of ioXt. In this role, he leads ioXt's security products supporting the ioXt Alliance. Brad holds over 25 patents and is the former security advisor chair for Zigbee. He has developed communication systems for AT&T, General Electric, and Arris. Before joining ioXt, Brad was vice president of IoT security at Verimatrix, where he led the development of blockchain solutions for ecosystem operators. He is highly versed in many IoT protocols and their associated security models.



**DALE ROBERTS**  
CYBERSECURITY TASK FORCE LEAD  
Legrand

Dale Roberts has over 20 years of information technology experience providing leadership and delivering IT solutions to resolve business problems. His primary areas of expertise are IT infrastructure, Cybersecurity, Enterprise Applications, Vendor Management and business continuity/disaster recovery. Prior to joining Legrand, Dale worked for AT&T and SunTrust Banks. He also spent many years providing IT consulting services to companies such as Coca-Cola, Aflac, Chick-Fil-A, Home Depot, and E\*Trade. For the past few years, Dale has specialized in cybersecurity and ISO 27001.



**GREGORY SCHWARZ ESQ., CFE, CAMS**  
VICE PRESIDENT FINANCIAL CRIMES COMPLIANCE  
**HSBC Bank USA NA**

Gregory Schwarz is a VP in Financial Crimes Compliance for HSBC Bank USA's Commercial Bank, where he focuses on risk and compliance connected to the Bank's non-bank financial institution portfolio with a focus on high-risk clients, including money services businesses, third-party payment processors, and entities that are part of the cryptocurrency supply chain. Formerly an Assistant District Attorney in Kings County, Gregory developed a passion for financial crime issues. Since then, Gregory has spent nearly a decade in financial crimes compliance and the international payments space having worked for several financial institutions in both a full-time and consulting capacity. Gregory is a duly licensed attorney in the State of New York and maintains credentials as both a Certified Fraud Examiner and a Certified Anti-Money Laundering Specialist.



**ANDY SONG**  
GENERAL COUNSEL, HEAD OF CORPORATE DEVELOPMENT  
**HSBC Bank USA NA**

Andy Song is General Counsel and Head of Corporate Development at Manifold, an applied AI R&D company. Before joining Manifold, Andy was Senior Counsel at Apple where he advised on IP, M&A, licensing, and litigation. He began his career as an attorney at Munger, Tolles & Olson after clerking on the U.S. Court of Appeals for the Ninth Circuit. Andy earned a B.A. in economics from Yale and a J.D. from Harvard.



**CARI STINEBOWER**  
PARTNER  
**Winston & Strawn**

Cari Stinebower is a partner at Winston & Strawn who practices in the area of economic sanctions, export controls, and anti-money laundering. With more than 20 years of government and private sector experience, she counsels clients on how to navigate the complex—and sometimes conflicting—web of government regulations. Cari provides practical guidance on compliance with U.S. economic sanctions, Bank Secrecy Act and anti-money laundering (AML) laws and regulations, export controls, and anti-corruption/anti-bribery laws and regulations. She works with financial institutions and multi-national corporations to develop compliance programs, conduct AML and Office of Foreign Assets Control (OFAC) risk assessments, conduct internal investigations, respond to government investigations, and address potential conflicts of law arising from non-U.S. data privacy and “blocking” laws and regulations.



## STEVEN TIELL

SR. PRINCIPAL, RESPONSIBLE INNOVATION

**Accenture**

Steven leads Responsible Innovation at Accenture. This fast-growing practice was born out of insights from five years of stakeholder-intense research in Data Ethics. His pioneering work helps clients manage risks brought on by digital transformations and widespread use of artificial intelligence. Steven advises some of the world's largest organizations in high-tech, media, telecom, financial services, public safety, public policy, and defense sectors. Over the past two and a half years, he's led Responsible Innovation and Data Ethics projects with more than two dozen clients across industries. He's contributed to and published more than a dozen papers that have moved the field forward, including an article on AI and Bias for Harvard Business Review. He often speaks on topics such as governance, trust, deepfakes, data ethics and industry transitions.

Steven lives in San Francisco and holds a BS in Computer Science Engineering, Information Systems and an MBA in Sustainable Management.



## SUSANNAH TORPEY

PARTNER

**Winston & Strawn**

Susannah Torpey is a partner in Winston & Strawn's Antitrust & Competition Practice Group. She has 15 years of experience representing Fortune 500 companies in multimillion- and billion-dollar antitrust class actions, high-tech competitor disputes, investigations, and counseling partnerships. She has been repeatedly recognized as a "SuperLawyer," "Top Woman Attorney," and as a "Star" in Antitrust and Litigation for obtaining critical wins for her clients, whether at trial on behalf of plaintiffs or by securing complete dismissals on behalf of defendants. With respect to her high-tech competitor disputes and counseling practice, Susannah has worked with a wide array of technologies, including artificial intelligence, web apps, ecommerce, fintech, wireless connectivity (2G, 3G, 4G), VoIP, IoT, data exchange platforms, semiconductors, numerous computer and memory products, and biotech.



## **INNA TSIMERMAN**

CHIEF INTERNATIONAL TRADE COUNSEL, LEGAL

**Arthur J Gallagher**

Inna Tsimerman is the Chief International Trade Counsel of Arthur J. Gallagher, a global insurance brokerage, risk management and consulting services firm. The company has operations in 48 countries and offers client service capabilities in more than 150 countries around the world through a network of correspondent brokers and consultants. She was named to the position in October 2019.

Ms. Tsimerman provides strategic advice on a broad range of trade sanctions and other international trade law issues.

Prior to her current role, Ms. Tsimerman served as the chief privacy & international trade counsel for Marsh & McLennan Companies, Inc. from November 2010 until September 2019. Previously, she served as corporate counsel for Marsh ClearSight, an associate attorney at DLA and at Seyfarth Shaw LLP, and an attorney in the Legal Department of CNA Insurance in Chicago. Ms. Tsimerman interned in the Legal Affairs Division of the World Trade Organization in Geneva after law school.

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## **KATHI VIDAL**

PARTNER

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Kathi Vidal, the managing partner of Winston's Silicon Valley office, is one of the leading patent litigators in the country. Nationally recognized for trying high-profile, high-stakes, and high-tech patent disputes for the region's leading companies, her experience covers a myriad of technologies, including semiconductors, telecommunications, circuits and systems, computers, software, Internet applications, and consumer products. In addition to protecting innovative companies through offensive patent actions or through innovative strategies to efficiently resolve defensive patent actions, including in U.S. district courts, the International Trade Commission, the U.S. Patent and Trademark Office and the Federal Circuit, Kathi advises clients ranging from Fortune 100 companies to start-ups on their portfolios, patent prosecution, inter partes review, covered business methods, trade secrets, trademarks, and other intellectual property matters. Kathi has a B.S. and M.S. in Electrical Engineering, five years of industry experience and wrote her master's thesis on Artificial Intelligence.



## WANJI WALCOTT

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Wanji Walcott is the Executive Vice President, Chief Legal Officer and General Counsel at Discover Financial Services, a leading digital bank and payments services company. Wanji is responsible for leading Discover's legal team, advising the Executive Committee and supporting the Board of Directors. As an experienced legal executive, Wanji has extensive experience with payments, fintech, innovation, compliance, government relations, investigations, M&A, talent management and diversity. Prior to joining Discover, Wanji served as Senior Vice President, General Counsel at PayPal. Wanji has also held positions at American Express, Pillsbury Winthrop Shaw Pittman LLP, DSET Corporation and Lockheed Martin IMS Corporation. She is on The Gunnery School Board of Trustees and a member of the board of the Minority Corporate Counsel Association. Wanji has received the following awards and recognition: *Savoy Magazines* Most Influential Black Executives in Corporate America (2020); *Lawdragon 500 Leading Lawyers* in America (2019); and *Chambers' Women in Law Award* (2018).



## DAVID WISMER

MD & GLOBAL HEAD, TECHNOLOGY INVESTMENT & CORPORATE BANKING

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David has over 20 years of investment banking experience including as Global Head of BMO Capital Markets' Technology Investment Banking group. He is a trusted advisor to high-growth technology companies from early stage to multi-billion dollar public companies completing over \$100 Billion worth of transactions. Prior to founding the technology investment banking practice at BMO Capital Markets in 2008, David spent eight years covering the sector at another investment bank as well as seven years in the IT consulting industry. David has experience with a broad range of transactions within the technology, media and telecom sectors across North America and Europe with a particular focus on M&A and IPO's.

David holds a MBA in Finance from Southern Methodist University in Dallas, Texas and received his Bachelor of Applied Science (Honors) in Mechanical Engineering from Queens University in 1993.



## **ALICE XIANG**

HEAD OF FTA RESEARCH

**Partnership on AI**

Alice Xiang is the Head of Fairness, Transparency, and Accountability Research at the Partnership on AI, where she leads a team of interdisciplinary researchers conducting research on algorithmic fairness, explainability, criminal justice risk assessment tools, and diversity and inclusion in the field of AI. She previously served as a Visiting Scholar at the Yau Mathematical Sciences Center at Tsinghua University and has worked as both a lawyer and data scientist. Alice's research has been published in peer-reviewed machine learning conferences, statistics journals, and law reviews. She has also given lectures and speeches at events hosted by the AAAS, IEEE, Harvard Institute of Quantitative Social Science, Tsinghua Statistical Sciences Center, and REWORK, among others. Alice holds a J.D. from Yale Law School, a Masters in Development Economics from Oxford, a Masters in Statistics from Harvard, and a Bachelors in Economics from Harvard.



## **MINAKSHI YERRA**

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Minakshi Yerra is the Senior Director, BSA & Sanctions (BSA Officer) at Ripple. She has over 14 years of international Banking and Fintech experience. She specializes in developing Bank Secrecy Act and Sanctions compliance programs, policies and procedures and investigating complex AML and OFAC cases. She previously worked at U.S. and non-U.S. banks, providing AML, Sanctions and other financial crimes compliance guidance to risk teams. Prior to Ripple, Minakshi worked at LendingClub, HSBC, and Wells Fargo.



## **SCOTT ZOLDI**

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Scott is Chief Analytics Officer at FICO responsible for the analytic development of FICO's product and technology solutions, including the FICO™ Falcon® Fraud Manager product, which protects about two-thirds of the world's payment card transactions from fraud. While at FICO, Scott has been responsible for authoring 110 analytic patents with 56 patents granted and 54 in process. Scott is actively involved in the development of new analytic products utilizing Artificial Intelligence and Machine Learning technologies, many of which leverage new streaming artificial intelligence innovations such as adaptive analytics, collaborative profiling, deep learning, and self-learning models. Scott is most recently focused on explainable machine learning architectures, bias detection, ethical AI, and blockchain model governance. Scott serves on two boards of directors, including Tech San Diego and Cyber Center of Excellence. Scott received his Ph.D. in theoretical physics from Duke University.

## **About Winston & Strawn**

Winston & Strawn LLP is an international law firm with 950+ attorneys across 15 offices in Brussels, Charlotte, Chicago, Dallas, Hong Kong, Houston, London, Los Angeles, Moscow, New York, Paris, San Francisco, Shanghai, Silicon Valley, and Washington, D.C. Additionally, the firm has significant resources devoted to clients and matters in Africa, the Middle East, and Latin America. The exceptional depth and geographic reach of our resources enable Winston & Strawn to manage virtually every type of business-related legal issue. We serve the needs of enterprises of all types and sizes, in both the private and the public sector. We understand that clients are looking for value beyond just legal talent. With this in mind, we work hard to understand the level of involvement our clients want from us. We take time to learn about our clients' organizations and their business objectives. And, we place significant emphasis on technology and teamwork in an effort to respond quickly and effectively to our clients' needs.

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