

From Enron to Today: An Insider's Guide to Safely Navigating the New Enforcement Landscape

OCTOBER 20, 2011

Winston & Strawn presented an eLunch titled "From Enron to Today: An Insider's Guide to Safely Navigating the New Enforcement Landscape" on Thursday, October 20, 2011 at 12:15 p.m. (Central).

The Sarbanes-Oxley Act of 2002, spawned by the Enron crisis, set new standards for all public companies and public accounting firms. Eight years later, in response to the financial crisis, the 2010 Dodd-Frank Wall Street Reform and Consumer Protection Act established what has been described as the most sweeping change in financial regulation since the Great Depression.

In this webinar, participants heard from two Winston & Strawn partners with direct and recent involvement in the watershed enforcement and regulatory actions of the past decade, as well as practical experience handling the complexities of internal investigations.

Jack Knight discussed his extensive experience with clients on the receiving end of government inquiries, and shared key strategies, tips, and minefields in the current enforcement climate. Mr. Knight drew from their experiences to help participants meet the latest requirements raised by these and other hot button issues:

- How can you conform your corporate governance practices to meet the sometimes conflicting requirements of Sarbanes-Oxley and Dodd-Frank?
- How should companies enhance their existing internal reporting requirements in response to Dodd-Frank's new whistleblower rules that include the payment of monetary awards to whistleblowers?
- What internal policies can companies implement before a whistleblower complaint is lodged to show the SEC and other enforcement agencies that they did all they could?
- What key practical steps should companies take when they receive a Wells notice, subpoena, or whistleblower complaint?

An eLunch is a complimentary, interactive seminar where participants watch and listen to a presentation given by Winston & Strawn attorneys over the Internet.

Clients and friends of the firm are invited to attend seminars and events. We reserve the right to limit attendance at any firm event.

NOTE: CLE credit is not available for listening to our pre-recorded eLunch or webinar briefings.

1 Min Read

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Jack Knight